

MIAX Sapphire Options Exchange Regulatory Circular 2024-48

DATE: September 16, 2024

TO: MIAX Sapphire Members

FROM: MIAX Member Services Department

RE: Updated MIAX Sapphire Options BD Form U4 Registrations – New Date: 09/23/24

This MIAX Sapphire Regulatory Circular, dated September 16, 2024 (MIAX Sapphire Regulatory Circular 2024-48), updates and supercedes, in its entirety, [MIAX Sapphire Regulatory Circular 2024-31](#) dated August 12, 2024.

All newly-approved MIAX Sapphire Broker-Dealer firms that also maintain an approved membership in the MIAX Options Exchange will have their firm's Individual Form U-4 registrations auto-copied in FINRA's WebCRD from the MIAX Options Exchange to MIAX Sapphire Options (the "Exchange") on September 23, 2024.

Previously the auto-registrations date was set for September 16, 2024.

In advance of this planned automation, the Exchange has approved each eligible firm-applicant in Web CRD beginning on August 12, 2024, the Exchange's launch date.

Questions regarding this notice may be directed to Member Services at MembershipCORE@miaxglobal.com or (609) 423-8433.

FINRA Firm Gateway registrations and form filing questions may be directed to FINRA Support Center at 301-869-6699.