

## MIAX Sapphire Options Exchange Regulatory Circular 2024-12

DATE: August 5, 2024

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Obvious Error and Appeal Submission of Notification

Pursuant to MIAX Sapphire Options Rule 521(c)(2), Time Deadline, a party that believes it participated in a transaction that was the result of an Obvious Error must submit a notification to MIAX Sapphire Options Regulatory Control using the Obvious Error Submission Form.

Pursuant to MIAX Sapphire Options Rule 521(d)(2), Time Deadline, a party that believes it participated in a transaction that was the result of a Catastrophic Error must submit a notification to MIAX Sapphire Options Regulatory Control using the Obvious & Catastrophic Error Form.

Pursuant to MIAX Sapphire Options Rule 521(I) if an affected party appeals an Official decision under Rule 521 within the time permitted, the affected party must submit a notification to MIAX Sapphire Options Regulatory Control at <a href="Obvious Error Appeal Form">Obvious Error Appeal Form</a>.

Please direct questions to the Regulatory Department at Regulatory@miaxglobal.com or (609) 897-7309.