



**DATE:** December 23, 2016

**TO:** MIAX Members

**FROM:** MIAX Regulatory Department

**RE:** Market for Underlying Security used for Openings on MIAX for Symbol PBYI Effective Tuesday, January 3, 2017

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The following underlying symbol will transfer primary listing from NYSE to NASDAQ beginning Tuesday, January 3, 2017, and, as set forth in MIAX Rule 503(d), MIAX shall use the exchange identified below as the “market for the underlying security” for purposes of Openings on the Exchange.

Underlying Symbol	Security Name	Exchange for Opening
PBYI	PUMA BIOTECHNOLOGY, INC.	NASDAQ

Please direct any questions to the Regulatory Department at [Regulatory@MIAXOptions.com](mailto:Regulatory@MIAXOptions.com) or (609) 897-7309.