



**DATE:** February 2, 2016  
**TO:** MIAX Members  
**FROM:** MIAX Regulatory Department  
**RE:** MIAX CRD Registrations: ME & FI S-28 Deletions Effective February 8, 2016

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Effective Monday, February 8, 2016, MIAX is discontinuing approval of the following two Web CRD registration categories:

- FI - Introducing Broker-Dealer Financial and Operations Principal Examination (Series 28)
- ME - Member

These two categories are not required by MIAX rules and their elimination is in alignment with the Exchange maintaining registrations specific to trading on its Exchange. The discontinuance of these two registrations will have no regulatory change on any registered persons' current status with MIAX.

For member firms whose registered persons hold either of these two registrations at MIAX, please submit a partial Form U-5 Uniform Termination Notice through the Web CRD system on or before February 5, 2016. Member firms will not be able to electronically access these registered categories after February 5, 2016 to file a partial Form U-5 Uniform Termination Notice, or for any other purpose.

If you have any questions regarding the elimination of these registrations, please contact John Cunningham, Member Services at (609) 897-1479 or [jcunningham@miami-holdings.com](mailto:jcunningham@miami-holdings.com).