

DATE: November 21, 2014

TO: MIAX Members

FROM: MIAX Regulatory Department

RE: New Classes Listed on MIAX and the Associated Market for Underlying Security used for Openings on MIAX as of November 24, 2014

The option classes contained in Exhibit 1 will start trading at MIAX on Monday, November 24, 2014, and, as set forth in MIAX Rule 503(d), MIAX shall use the exchanges identified in Exhibit 1 as the “market for the underlying security” for purposes of Openings on the Exchange.

Please direct any regulatory questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.

Please direct any listings questions to Listings@MIAXOptions.com or (609) 897-7308.



The option classes listed below will begin trading on the MIAX Options Exchange at the start of trading on **Monday, November 24, 2014**.

| Security Symbol | Security Name | Option Class | Price Programs | Expiration Programs | Penny Pilot | Allocation Style | Billing Style | Exchange for Opening | Closing Time | PLMM | Cloud |
|-----------------|---------------------------------------|--------------|----------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| NADL | NORTH ATLANTIC DRILLING LIMITED | NADL | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Citadel Securities, LLC | 13 |
| NDLS | NOODLES & COMPANY | NDLS | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 13 |
| NLS | NAUTILUS INC. | NLS | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Citadel Securities, LLC | 13 |
| NMBL | NIMBLE STORAGE, INC. | NMBL | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Morgan Stanley | 13 |
| NOR | NORANDA ALUMINUM HOLDING CORP. | NOR | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Susquehanna Securities | 13 |
| NSAM | NORTHSTAR ASSET MANAGEMENT GROUP INC. | NSAM | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Citadel Securities, LLC | 13 |
| NVO | NOVO NORDISK A/S | NVO | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Barclays Capital Inc. | 13 |
| NWPX | NORTHWEST PIPE COMPANY | NWPX | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 13 |
| NYNY | EMPIRE RESORTS INC. | NYNY | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 13 |
| OCR | OMNICARE INC | OCR | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Susquehanna Securities | 14 |
| OI | OWENS-ILLINOIS INC. | OI | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Timber Hill, LLC | 14 |



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|-----------------|---------------------------------------|--------------|----------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| OII | OCEANEERING INTERNATIONAL, INC | OII | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Goldman Sachs | 14 |
| ORIG | OCEAN RIG UDW INC. | ORIG | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 14 |
| OUT | OUTFRONT MEDIA INC. | OUT | Standard | STO | No | Pro-Rata | Conventional | NYSE | 4:00 | Timber Hill, LLC | 14 |
| OZM | OCH-ZIFF CAPITAL MANAGEMENT GROUP LLC | OZM | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 14 |
| PBPB | POTBELLY CORPORATION | PBPB | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 14 |
| PBY | THE PEP BOYS – MANNY, MOE & JACK | PBY | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Citadel Securities, LLC | 14 |
| PBYI | PUMA BIOTECHNOLOGY, INC. | PBYI | \$5.00 | STO | No | Pro-Rata | Conventional | NYSE | 4:00 | Morgan Stanley | 14 |
| PCG | PG & E CORP | PCG | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 14 |
| PCRX | PACIRA PHARMACEUTICALS, INC. | PCRX | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Morgan Stanley | 14 |
| PDLI | PDL BIOPHARMA INC. | PDLI | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Morgan Stanley | 14 |
| PEG | PUBLIC SERVICE ENT. GROUP INC | PEG | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 14 |
| PGN | PARAGON OFFSHORE PLC | PGN | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Susquehanna Securities | 14 |



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|-----------------|-------------------------------------|--------------|----------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| PIR | PIER 1 IMPORTS, INC. | PIR | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 14 |
| PKG | PACKAGING CORP OF AMERICA | PKG | \$2.50 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Goldman Sachs | 14 |
| PLD | PROLOGIS INC. | PLD | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Citadel Securities, LLC | 14 |
| PMCS | PMC-SIERRA INC. | PMCS | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 14 |
| PNK | PINNACLE ENTERTAINMENT INC | PNK | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 14 |
| POST | POST HOLDINGS, INC. | POST | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Susquehanna Securities | 14 |
| POWR | POWERSECURE INTERNATIONAL INC. | POWR | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 14 |
| PPC | PILGRIM'S PRIDE CORP | PPC | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Goldman Sachs | 14 |
| PSDV | PSIVIDA CORPORATION | PSDV | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 14 |
| PSEC | PROSPECT CAPTIAL CORPORATION | PSEC | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 14 |
| PSMI | PEREGRINE SEMICONDUCTOR CORPORATION | PSMI | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 14 |
| PX | PRAXAIR, INC | PX | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Goldman Sachs | 14 |
| PXLW | PIXELWORKS, INC. | PXLW | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 14 |



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|-----------------|--------------------------------------|--------------|----------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| QVCA | LIBERTY INTERACTIVE CORPORATION | QVCA | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 15 |
| RCPT | RECEPTOS, INC. | RCPT | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 15 |
| RGEN | REPLIGEN CORPORATION | RGEN | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 15 |
| RHP | RYMAN HOSPITALITY PROPERTIES, INC. | RHP | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Citadel Securities, LLC | 15 |
| RICE | RICE ENERGY INC. | RICE | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 15 |
| RKT | ROCK-TENN COMPANY | RKT | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 15 |
| ROSE | ROSETTA RESOURCES INC. | ROSE | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Goldman Sachs | 15 |
| RSG | REPUBLIC SERVICES INC. | RSG | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Goldman Sachs | 15 |
| RUSL | DIREXION DAILY RUSSIA BULL 3X SHARES | RUSL | Idx Link | Standard | No | Pro-Rata | Conventional | NYSE ARCA | 4:00 | Morgan Stanley | 15 |
| RYAM | RAYONIER ADVANCED MATERIALS INC. | RYAM | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Morgan Stanley | 15 |
| SAFM | SANDERSON FARMS INC. | SAFM | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 16 |
| SAND | SANDSTORM GOLD LTD. | SAND | Standard | Standard | No | Pro-Rata | Conventional | NYSE MKT | 4:00 | Wolverine Trading, LLC | 16 |
| SANW | S&W SEED COMPANY | SANW | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 16 |



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|-----------------|--|--------------|--------------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| SBGL | SIBANYE GOLD LIMITED | SBGL | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 16 |
| SC | SANTANDER CONSUMER USA HOLDINGS INC. | SC | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 16 |
| SCOK | SINOCOKING COAL AND COKE CHEMICAL INDUSTRIES, INC. | SCOK | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 16 |
| SIAL | SIGMA-ALDRICH CORPORATION | SIAL | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Morgan Stanley | 16 |
| SID | COMPANHIA SIDERURGICA NACIONAL (ADR) | SID | \$1.00, \$1.00 LTO | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 16 |
| SIMO | SILICON MOTION TECHNOLOGY CORP. | SIMO | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 16 |
| SIX | SIX FLAGS ENTERTAINMENT CORPORATION | SIX | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 16 |
| SJM | THE J.M. SMUCKER COMPANY | SJM | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Goldman Sachs | 16 |
| SLXP | SALIX PHARMACEUTICALS, LTD. | SLXP | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Morgan Stanley | 16 |
| SMA | SYMMETRY MEDICAL, INC. | SMA | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Citadel Securities, LLC | 16 |
| SNI | SCRIPPS NETWORKS INTERACTIVE INC. | SNI | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Barclays Capital Inc. | 16 |
| SNMX | SENOMYX INC. | SNMX | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 16 |



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|-----------------|----------------------------------|--------------|----------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| SNSS | SUNESIS PHARMACEUTICALS INC. | SNSS | \$0.50, \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 16 |
| SNY | SANOFI | SNY | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Goldman Sachs | 16 |
| SONS | SONUS NETWORKS INC. | SONS | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 16 |
| SPR | SPIRIT AEROSYSTEMS HOLDINGS, INC | SPR | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 16 |
| STRZA | STARZ | STRZA | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 16 |
| SWFT | SWIFT TRANSPORATION COMPANY | SWFT | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Citadel Securities, LLC | 16 |
| TA | TRAVELCENTERS OF AMERICA LLC | TA | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 17 |
| TENX | TENAX THERAPEUTICS, INC. | TENX | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 17 |
| TGTX | TG THERAPEUTICS, INC. | TGTX | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 17 |
| THI | TIM HORTONS INC. | THI | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Susquehanna Securities | 17 |
| THLD | THRESHOLD PHARMACEUTICALS INC. | THLD | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 17 |
| THOR | THORATEC CORPORATION | THOR | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 17 |
| THRM | GENTHERM INC | THRM | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 17 |



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|-----------------|----------------------------------|--------------|----------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| TITN | TITAN MACHINERY, INC. | TITN | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 17 |
| TK | TEEKAY CORP | TK | \$2.50 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 17 |
| TKMR | TEKMIRA PHARMACEUTICALS CORP | TKMR | \$2.50 | STO | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Morgan Stanley | 17 |
| TNET | TRINET GROUP, INC. | TNET | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Susquehanna Securities | 17 |
| TPH | TRI POINTE HOMES, INC. | TPH | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 17 |
| TRP | TRANSCANADA CORP. | TRP | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Morgan Stanley | 17 |
| TRUE | TRUECAR, INC. | TRUE | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Morgan Stanley | 17 |
| TSEM | TOWER SEMICONDUCTOR LTD. | TSEM | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 17 |
| TTPH | TETRAPHASE PHARMACEUTICALS, INC. | TTPH | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 17 |
| UEPS | NET 1 UEPS TECHNOLOGIES INC. | UEPS | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 18 |
| UNFI | UNITED NATURAL FOODS, INC. | UNFI | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 18 |
| URA | GLOBAL X URANIUM ETF | URA | Idx Link | Standard | No | Pro-Rata | Conventional | NYSE ARCA | 4:00 | Wolverine Trading, LLC | 18 |
| UTHR | UNITED THERAPEUTICS CORP | UTHR | \$2.50 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 18 |



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|-----------------|---|--------------|----------------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| VC | VISTEON CORP. | VC | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 19 |
| VDSI | VASCO DATA SECURITY INTERNATIONAL, INC. | VDSI | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 19 |
| VECO | VEECO INSTRUMENTS INC. | VECO | \$1.00, \$2.50 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Goldman Sachs | 19 |
| VGK | VANGUARD FTSE EUROPE ETF | VGK | Idx Link | Standard | No | Pro-Rata | Conventional | NYSE ARCA | 4:00 | Wolverine Trading, LLC | 19 |
| VIMC | VIMICRO INTERNATIONAL CORP. (ADR) | VIMC | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 19 |
| VLCCF | KNIGHTSBRIDGE SHIPPING LTD. | VLCCF | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Morgan Stanley | 19 |
| VNET | 21VIANET GROUP INC | VNET | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 19 |
| VNO | VORNADO REALTY TRUST | VNO | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Barclays Capital Inc. | 19 |
| VNQ | VANGUARD REIT ETF | VNQ | Idx Link | Standard | No | Pro-Rata | Conventional | NYSE ARCA | 4:00 | Citadel Securities, LLC | 19 |
| VNR | VANGUARD NATURAL RESOURCES LLC. | VNR | \$2.50 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Goldman Sachs | 19 |
| VOYA | VOYA FINANCIAL INC. | VOYA | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 19 |
| VRS | VERSO PAPER CORPORATION | VRS | \$0.50 \$1.00 LTO | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 19 |
| VSI | VITAMIN SHOPPE INC. | VSI | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Barclays Capital Inc. | 19 |



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|-----------------|-------------------------------------|--------------|----------------|---------------------|-------------|------------------|---------------|----------------------|--------------|-------------------------|-------|
| WB | WEIBO CORP. (ADR) | WB | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Timber Hill, LLC | 19 |
| WLB | WESTMORELAND COAL COMPANY | WLB | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 19 |
| WLH | WILLIAM LYON HOMES | WLH | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Susquehanna Securities | 19 |
| WPG | WASHINGTON PRIME GROUP INC. | WPG | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Wolverine Trading, LLC | 19 |
| WWW | WEB.COM GROUP INC | WWW | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Wolverine Trading, LLC | 19 |
| XNPT | XENOPORT INC. | XNPT | \$1.00 | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Citadel Securities, LLC | 20 |
| XOOM | XOOM CORPORATION | XOOM | Standard | Standard | No | Pro-Rata | Conventional | NASDAQ | 4:00 | Susquehanna Securities | 20 |
| XPO | XPO LOGISTICS, INC. | XPO | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Morgan Stanley | 20 |
| XXII | 22ND CENTURY GROUP, INC. | XXII | \$1.00 | Standard | No | Pro-Rata | Conventional | NYSE MKT | 4:00 | Wolverine Trading, LLC | 20 |
| YINN | DIREXION DAILY CHINA 3X BULL SHARES | YINN | Idx Link | Standard | No | Pro-Rata | Conventional | NYSE ARCA | 4:00 | Susquehanna Securities | 20 |
| ZOES | ZOE'S KITCHEN, INC. | ZOES | Standard | Standard | No | Pro-Rata | Conventional | NYSE | 4:00 | Morgan Stanley | 21 |