MIAX Regulatory Circular 2014-72



DATE: September 25, 2014

TO: MIAX Members

FROM: MIAX Regulatory Department

RE: BABA added to the MIAX Select Symbols

In SR-MIAX-2014-50, the Exchange filed for immediate effectiveness a filing to amend the Priority Customer Rebate Program in the MIAX Options Fee Schedule to add BABA to the option classes that qualify for a per contract credit for transactions in MIAX Select Symbols.

Thus, the Exchange will credit each Member the per contract rate set forth in the table located in the MIAX Options Fee Schedule resulting from each Priority Customer order transmitted by that Member executed on Exchange in AA, AAL, AAPL, AIG, AMZN, AZN, BABA, BP, C, CBS, CLF, CMCSA, EBAY, EEM, EFA, EWJ, FB, FCX, FXI, GE, GILD, GLD, GM, GOOG, GOOGL, HTZ, INTC, IWM, IYR, JCP, JPM, KO, MO, MRK, NFLX, NOK, NQ, PBR, PCLN, PFE, PG, QCOM, QQQ, S, SIRI, SPY, SUNE, T, TSLA, USO, VALE, WAG, WFC, WMB, WY, XHB, XLE, XLF, XLP, XLU and XOM. The per contract credit would be in lieu of the applicable credit that would otherwise apply to the transaction based on the volume thresholds.

Please direct any questions to Shelly Brown at sbrown@miaxoptions.com or (609) 897-7317.

Regulatory inquiries should be directed to Regulatory@miaxoptions.com or (609) 897-7309.