

MIAX Pearl Options Exchange Regulatory Circular 2022-04

DATE: January 28, 2022

TO: MIAX Pearl Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Pearl Options for Newly Listed Symbols Effective Monday, January 31, 2022

The following symbols will start trading on MIAX Pearl Options beginning Monday, January 31, 2022. As set forth in MIAX Pearl Rule 503(a)(3), MIAX Pearl Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ACET	ADICET BIO INC	NASDAQ
ADGI	ADAGIO THERAPEUTICS, INC.	NASDAQ
ANNX	ANNEXON, INC.	NASDAQ
APSG	APOLLO STRATEGIC GROWTH CAPITAL	NYSE
ARDS	ARIDIS PHARMACEUTICALS, INC.	NASDAQ
ARNA	ARENA PHARMACEUTICALS INC	NASDAQ
ASTE	ASTEC INDUSTRIES, INC	NASDAQ
BRDS	BIRD GLOBAL, INC.	NYSE
BRPM	B. RILEY PRINCIPAL 150 MERGER CORP.	NASDAQ
BTCY	BIOTRICITY, INC.	NASDAQ
BTTX	BETTER THERAPEUTICS INC	NASDAQ
BZ	KANZHUN LTD.	NASDAQ
CALT	CALLIDITAS THERAPEUTICS AB	NASDAQ
CCMP	CMC MATERIALS, INC.	NASDAQ
COCO	VITA COCO COMPANY, INC.	NASDAQ
DSP	VARIANT TECHNOLOGY, INC.	NASDAQ
ECNS	ISHARES MSCI CHINA SMALL-CAP ETF	NYSE Arca

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
EMBK	EMBARK TECHNOLOGY, INC	NASDAQ
ESMT	ENGAGESMART INC	NYSE
ESSC	EAST STONE ACQUISITION CORP	NASDAQ
EVAX	EVAXION BIOTECH AS	NASDAQ
FHTX	FOGHORN THERAPEUTICS, INC.	NASDAQ
FTHM	FATHOM HOLDINGS INC.	NASDAQ
GRTX	GALERA THERAPEUTICS, INC.	NASDAQ
HCM	HUTCHMED (CHINA) LIMITED	NASDAQ
HLGN	HELIOGEN, INC.	NYSE
HLMN	HILLMAN SOLUTIONS CORPORATION	NASDAQ
HURN	HURON CONSULTING GROUP, INC.	NASDAQ
IMBI	IMEDIA BRANDS, INC	NASDAQ
INNV	INNOVAGE HOLDING CORP	NASDAQ
IWV	ISHARES RUSSELL 3000 ETF	NYSE Arca
JSPR	JASPER THERAPEUTICS INC	NASDAQ
KD	KYNDRYL HOLDINGS INCORPORATION	NYSE
MULN	MULLEN AUTOMOTIVE, INC.	NASDAQ
MYTE	MYT NETHERLANDS PARENT BV	NYSE
NRDS	NERDWALLET, INC	NASDAQ
NVEI	NUVEI CORPORATION	NASDAQ
NYC	NEW YORK CITY REIT, INC.	NYSE
OLK	OLINK HOLDING	NASDAQ
PAY	PAYMENTUS HOLDINGS, INC	NYSE
PGJ	INVESCO GOLDEN DRAGON CHINA ETF	NASDAQ
PMCB	PHARMACYTE BIOTECH, INC.	NASDAQ
PNM	PNM RESOURCES, INC	NYSE
PTPI	PETROS PHARMACEUTICALS, INC.	NASDAQ
RENT	RENT THE RUNWAY, INC.	NASDAQ
RETL	DIREXION DAILY RETAIL BULL 3X SHARES	NYSE Arca
SARK	TUTTLE CAPITAL SHORT INNOVATION ETF	NASDAQ
SBEA	SILVERBOX ENGAGED MERGER CORP. I	NASDAQ
SG	SWEETGREEN, INC.	NYSE
SLGL	SOL-GEL TECHNOLOGIES LTD.	NASDAQ
SLNH	SOLUNA HOLDINGS, INC.	NASDAQ
SLVM	SYLVAMO CORPORATION	NYSE
SMWB	SIMILARWEB LTD.	NYSE

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
SNII	SUPERNOVA PARTNERS ACQUISITION COMPANY II, LTD	NYSE
TMX	TERMINIX GLOBAL HOLDINGS, INC.	NYSE
VEL	VELOCITY FINANCIAL, INC	NYSE
YMM	FULL TRUCK ALLIANCE CO. LTD.	NYSE
ZEST	ECOARK HOLDINGS, INC.	NASDAQ

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.