

MIAX Options Exchange Regulatory Circular 2022-03
MIAX Pearl Options Exchange Regulatory Circular 2022-03
MIAX Emerald Options Exchange Regulatory Circular 2022-03

DATE: January 21, 2022
TO: MIAX Exchange Members
FROM: MIAX Regulatory Department
RE: Electronic Filing of Annual Reports

This notification is a reminder that, in connection with the Regulatory Services Agreement between the Exchange and FINRA, the filing of MIAX Options, MIAX Pearl Options and MIAX Emerald Options Rule 803 Audits annual report has transitioned to the electronic filing platform available via FINRA's Firm Gateway. FINRA's Firm Gateway (<https://firms.finra.org>) is a portal that provides consolidated access to various FINRA regulatory systems.

Other confidential or financial member firm filings may be sent to:

MemberServices@miami-holdings.com

For FINRA Firm Gateway technical questions contact FINRA's technical support group at (301) 869-6699.

Direct further questions to the Exchange's Membership Department at MemberServices@miami-holdings.com or (609) 897-1479.