

## MIAX Pearl Options Exchange Regulatory Circular 2021-47

**DATE:** September 27, 2021

**TO:** MIAX Pearl Members

**FROM:** MIAX Regulatory Department

**RE:** Market for Underlying Security Used for Openings on MIAX Pearl Options for Newly Listed Symbols Effective Tuesday, September 28, 2021

The following symbols will start trading on MIAX Pearl Options beginning Tuesday, September 28, 2021. As set forth in MIAX Pearl Rule 503(a)(3), MIAX Pearl Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
AEHR	AEHR TEST SYSTEMS	NASDAQ
AGFY	AGRIFY CORP.	NASDAQ
AMEH	APOLLO MEDICAL HOLDINGS, INC.	NASDAQ
ANAT	AMERICAN NATIONAL GROUP, INC.	NASDAQ
ANY	SPHERE 3D CORP.	NASDAQ
APDN	APPLIED DNA SCIENCES, INC.	NASDAQ
ASLN	ASLAN PHARMACEUTICALS LTD. ADR	NASDAQ
ASX	ASE TECHNOLOGY HOLDING CO., LTD.	NYSE
ATCX	ATLAS TECHNICAL CONSULTANTS, INC.	NASDAQ
AUTL	AUTOLUS THERAPEUTICS PLC	NASDAQ
BCYC	BICYCLE THERAPEUTICS PLC	NASDAQ
BHG	BRIGHT HEALTH GROUP	NYSE
BITF	BITFARMS LTD.	NASDAQ
BITQ	BITWISE CRYPTO INDUSTRY INNOVATORSETF	NYSE Arca
BLU	BELLUS HEALTH INC.	NASDAQ
BMTX	BM TECHNOLOGIES, INC.	AMEX
BREZ	BREEZE HOLDINGS ACQUISITION	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
CFLT	CONFLUENT, INC.	NASDAQ
CIO	CITY OFFICE REIT, INC.	NYSE
CLPR	CLIPPER REALTY, INC.	NYSE
COOK	TRAEGER, INC.	NYSE
CRCT	CRICUT, INC.	NASDAQ
CRTD	CREATD, INC.	NASDAQ
CXP	COLUMBIA PROPERTY TRUST, INC.	NYSE
DCRC	DECARBONIZATION PLUS ACQUISITION CORPORATION III	NASDAQ
DGNS	DRAGONEER GROWTH CORP	NASDAQ
DLPN	DOLPHIN ENTERTAINMENT INC	NASDAQ
DOCS	DOXIMITY, INC	NYSE
DOOO	BRP, INC.	NASDAQ
DRVN	DRIVEN BRANDS HOLDINGS INC	NASDAQ
DUOL	DUOLINGO, INC.	NASDAQ
ESSC	EAST STONE ACQUISITION CORP	NASDAQ
FARM	FARMER BROS. CO.	NASDAQ
FULC	FULCRUM THERAPEUTICS, INC.	NASDAQ
GGPI	GORES GUGGENHEIM INC	NASDAQ
GSL	GLOBAL SHIP LEASE, INC.	NYSE
GTBP	GT BIOPHARMA INC	NASDAQ
GTIM	GOOD TIMES RESTAURANTS INC.	NASDAQ
GTS	TRIPLE S MANAGEMENT CORPORATION	NYSE
HIPO	HIPPO HOLDINGS INC.	NYSE
HJLI	HANCOCK JAFFE LABORATORIES, INC.	NASDAQ
HLLY	HOLLEY INC.	NYSE
HMLP	HOEGH LNG PARTNERS LP	NYSE
HNP	HUANENG POWER INTERNATIONAL, INC. ADS	NYSE
IEC	IEC ELECTRONICS CORP	NASDAQ
IMAB	I-MAB SPONSORED ADR	NASDAQ
IMNM	IMMUNOME, INC	NASDAQ
INTT	INTEST CORPORATION	AMEX
IPA	IMMUNOPRECISE ANTIBODIES LTD.	NASDAQ
ITOT	ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF	NYSE Arca
JEPI	JPMORGAN EQUITY PREMIUM INCOME ETF	NYSE Arca
KNBE	KNOWBE4, INC.	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
KVSB	KHOSLA VENTURES ACQUISITION CO. II	NASDAQ
KXIN	KAIXIN AUTO HOLDINGS	NASDAQ
LFST	LIFESTANCE HEALTH GROUP, INC.	NASDAQ
LIFE	ATYR PHARMA, INC.	NASDAQ
LUNA	LUNA INNOVATIONS INC	NASDAQ
MCW	MISTER CAR WASH INC	NYSE
MDVL	MEDAVAIL HOLDINGS, INC.	NASDAQ
METC	RAMACO RESOURCES, INC.	NASDAQ
MIRM	MIRUM PHARMACEUTICALS, INC.	NASDAQ
MNDY	MONDAY.COM LTD	NASDAQ
MOSY	MOSYS, INC.	NASDAQ
MQ	MARQETA, INC	NASDAQ
NINE	NINE ENERGY SERVICE INC	NYSE
NRXP	NRX PHARMACEUTICALS, INC	NASDAQ
OBLG	OBLONG, INC.	NASDAQ
OCG	ORIENTAL CULTURE HOLDING LTD	NASDAQ
ONDS	ONDAS HOLDINGS, INC.	NASDAQ
ORPH	ORPHAZYME AS	NASDAQ
PANL	PANGAEA LOGISTICS SOLUTIONS LTD.	NASDAQ
PSTX	POSEIDA THERAPEUTICS, INC.	NASDAQ
QTRX	QUANTERIX CORPORATION	NASDAQ
RADI	RADIUS GLOBAL INFRASTRUCTURE INC	NASDAQ
RBOT	VICARIOUS SURGICAL INC.	NYSE
RLAY	RELAY THERAPEUTICS INC	NASDAQ
RLX	RLX TECHNOLOGY INC	NYSE
RNA	AVIDITY BIOSCIENCES INC	NASDAQ
RYB	RYB EDUCATION INC.	NYSE
S	SENTINELONE, INC	NYSE
SAFM	SANDERSON FARMS IN	NASDAQ
SANA	SANA BIOTECHNOLOGY INC.	NASDAQ
SC	SANTANDER CONSUMER USA	NYSE
SGOC	SGOCO GROUP LTD.	NASDAQ
SGTX	SIGILON THERAPEUTICS, INC.	NASDAQ
SKM	SK TELECOM CO. LTD	NYSE
SKYT	SKYWATER TECHNOLOGY INC	NASDAQ
SLI	STANDARD LITHIUM LTD.	AMEX

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
SPFR	JAWS SPITFIRE ACQUISITION CORP.	NYSE
STKS	ONE GROUP HOSPITALITY, INC.	NASDAQ
STMP	STAMPS.COM INC	NASDAQ
SUPV	GRUPO SUPERVIELLE SA	NYSE
TASK	TASKUS, INC.	NASDAQ
TGLS	TECNOGLASS INC.	NASDAQ
THD	ISHARES MSCI THAILAND ETF	NYSE Arca
TLIS	TALIS BIOMEDICAL CORP.	NASDAQ
VHT	VANGUARD HEALTH CARE ETF	NYSE Arca
VRCA	VERRICA PHARMACEUTICALS INC.	NASDAQ
VTIP	VANGUARD SHORT-TERM INFLATION-PROTECTED SECURITIES	NASDAQ
VTWO	VANGUARD RUSSELL 2000 ETF	NASDAQ
VYGG	VY GLOBAL GROWTH	NYSE
WISA	SUMMIT WIRELESS TECHNOLOGIES, INC.	NASDAQ
XELA	EXELA TECHNOLOGIES INC	NASDAQ
XENE	XENON PHARMACEUTICALS INC.	NASDAQ
XFOR	X4 PHARMACEUTICALS, INC.	NASDAQ
XONE	EXONE CO.	NASDAQ
YOU	CLEAR SECURE, INC	NYSE
ZNTL	ZENTALIS PHARMACEUTICALS, INC.	NASDAQ
ZY	ZYMERGEN INC	NASDAQ

Please direct questions to the Regulatory Department at [Regulatory@MIAXOptions.com](mailto:Regulatory@MIAXOptions.com) or (609) 897-7309.