

MIAX Pearl Options Exchange Regulatory Circular 2021-27

DATE: June 25, 2021

TO: MIAX Pearl Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Pearl Options for Newly Listed Symbols Effective Monday, June 28, 2021.

The following symbols will start trading on MIAX Pearl Options beginning Monday, June 28, 2021. As set forth in MIAX Pearl Rule 503(a)(3), MIAX Pearl Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
AVIR	ATE PHARMACEUTICAL INC.	NASDAQ
AYTU	AYTU BIOSCIENCE INC	NASDAQ
BDTX	BLACK DIAMOND THERAPEUTICS, INC.	NASDAQ
BSY	BENTLEY SYSTEMS, INCORPORATED	NASDAQ
BTN	BALLANTYNE STRONG, INC.	AMEX
BTX	BROOKLYN IMMUNOTHERAPEUTICS, INC.	AMEX
CHK	CHESAPEAKE ENERGY CORPORATION	NASDAQ
CLI	MACK-CALI REALTY CORPORATION	NYSE
COPX	GLOBAL X COPPER MINERS ETF	NYSE Arca
CPER	UNITED STATES COPPER INDEX FUND	NYSE Arca
DGNR	DRAGONEER GROWTH OPPORTUNITIES CORP	NYSE
DMAC	DIAMEDICA THERAPEUTICS INC.	NASDAQ
EAR	EARGO INC	NASDAQ
EDR	ENDEAVOR GROUP HOLDINGS, INC.	NYSE

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ESTA	ESTABLISHMENT LABS HOLDINGS, INC.	NASDAQ
ETON	ETON PHARMACEUTICALS, INC	NASDAQ
FAN	FIRST TRUST GLOBAL WIND ENERGY ETF	NYSE Arca
FLL	FULL HOUSE RESORTS, INC.	NASDAQ
FLUX	FLUX POWER HOLDINGS, INC	NASDAQ
FOE	FERRO CORP.	NYSE
GATO	GATOS SILVER, INC.	NYSE
HNST	THE HONEST COMPANY, INC	NASDAQ
INTZ	INTRUSION INC	NASDAQ
JYNT	JOINT CORP	NASDAQ
LEGN	LEGEND BIOTECH CORP	NASDAQ
LOMA	LOMA NEGRA CIA INDUSTRIAL ARGENTINA SA	NYSE
MNMD	MIND MEDICINE INC.	NASDAQ
MOXC	MOXIAN, INC.	NASDAQ
NGM	NGM BIOPHARMACEUTICALS, INC.	NASDAQ
NSH	NAVSIGHT HOLDINGS, INC	NYSE
NVEE	NV5 Global Inc.	NASDAQ
NVVE	NUVVE HOLDING CORP.	NASDAQ
OAS	OASIS PETROLEUM INC.	NASDAQ
ORGS	ORGENESIS INC.	NASDAQ
PACK	RANPAK HOLDINGS CORP	NYSE
PDSB	PDS BIOTECHNOLOGY CORP.	NASDAQ
PIRS	PIERIS PHARMACEUTICALS INC.	NASDAQ
PLXP	PLX PHARMA, INC.	NASDAQ
QMCO	QUANTUM CORPORATION	NASDAQ
QUAL	ISHARES MSCI USA QUALITY FACTOR ETF	BATS
SEER	SEER, INC.	NASDAQ
SMMT	SUMMIT THERAPEUTICS INC.	NASDAQ
SPYD	SPDR PORTFOLIO S&P 500 HIGH DIVIDEND	NYSE Arca
STIM	NEURONETICS, INC.	NASDAQ
TIPT	TIPTREE INC.	NASDAQ
TSP	TUSIMPLE HOLDINGS INC	NASDAQ
UGP	ULTRAPAR PARTICIPACOES SA	NYSE

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
VAL	VALARIS LIMITED	NYSE
VTSI	VIRTRA, INC.	NASDAQ
VWE	Vintage Wine Estates, Inc.	NASDAQ
WFG	WEST FRASER TIMBER CO. LTD.	NYSE
ZKIN	ZK INTERNATIONAL GROUP CO., LTD.	NASDAQ

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.