

MIAX Options Exchange Regulatory Circular 2024-84
MIAX Pearl Options Exchange Regulatory Circular 2024-81
MIAX Emerald Options Exchange Regulatory Circular 2024-83
MIAX Pearl Equities Exchange Regulatory Circular 2024-18
MIAX Sapphire Options Exchange Regulatory Circular 2024-91

DATE: December 31, 2024

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Proposed Rule Change to Amend the Codes of Arbitration Procedure to Add New FINRA Rules 12808 and 13808 (Accelerated Processing) for Qualified Parties

FINRA is proposing to amend the Code of Arbitration Procedure for Customer Disputes (“Customer Code”) and the Code of Arbitration Procedure for Industry Disputes (“Industry Code”) (together, “Codes”) to add new FINRA Rules 12808 and 13808 (Accelerated Processing) to accelerate the processing of arbitration proceedings for parties who qualify based on their age or health condition.

For more information, see SEC Release 34-101957 and SR-FINRA-2024-021.

MIAX Exchanges are required by rule to inform Members of rule changes to FINRA’s arbitration rules published by the Securities and Exchange Commission.

Direct Regulatory inquiries to Regulatory@miaxglobal.com or (609) 897-7309.