

DATE: September 21, 2018

TO: MIAX PEARL Members

FROM: MIAX PEARL Regulatory Department

RE: Market for Underlying Security used for Openings on MIAX PEARL for Symbol BOFI (AX)
Effective Monday, October 1, 2018

Axos Financial, Inc. (currently “BOFI”) will transfer primary listing from the Nasdaq Global Select Market to the New York Exchange (“NYSE”) effective Monday, October 1, 2018, and, as set forth in MIAX PEARL Rule 503(a)(3), MIAX PEARL shall use the NYSE as the “market for the underlying security” for purposes of Openings on the Exchange. Additionally, Axos Financial, Inc. will begin trading under the new ticker symbol “AX” effective Monday, October 1, 2018.

Underlying Symbol	Security Name	Exchange for Opening
AX	Axos Financial, Inc.	NYSE

Please direct any questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.