

MIAX Options Exchange Regulatory Circular 2022-23
MIAX Pearl Options Exchange Regulatory Circular 2022-20
MIAX Emerald Options Exchange Regulatory Circular 2022-20

DATE: April 14, 2022

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options, MIAX Pearl Options and MIAX Emerald Options for Symbol PNNT Effective Thursday, April 14, 2022

PennantPark Investment Corp. (“PNNT”) transferred primary listing from the NASDAQ Global Select Market to the New York Stock Exchange (“NYSE”) effective Thursday, April 14, 2022. As set forth in MIAX Options Rule 503(d), MIAX Pearl Rule 503(a)(3) and MIAX Emerald Rule 503(d), the exchanges shall use NYSE as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
PNNT	PENNANTPARK INVESTMENT CORP.	NYSE

Please direct questions to the Regulatory Department at Regulatory@MIOXOptions.com or (609) 897-7309.