

MIAX Options Exchange Regulatory Circular 2022-04

DATE: January 28, 2022

TO: MIAX Options Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options for Newly Listed Symbols Effective Monday, January 31, 2022

The following symbols will start trading on MIAX Options beginning Monday, January 31, 2022. As set forth in MIAX Options Rule 503(d) MIAX Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|-------------------------------------|----------------------|
| ACET | ADICET BIO INC | NASDAQ |
| ADGI | ADAGIO THERAPEUTICS, INC. | NASDAQ |
| ANNX | ANNEXON, INC. | NASDAQ |
| APSG | APOLLO STRATEGIC GROWTH CAPITAL | NYSE |
| ARDS | ARIDIS PHARMACEUTICALS, INC. | NASDAQ |
| ARNA | ARENA PHARMACEUTICALS INC | NASDAQ |
| ASTE | ASTEC INDUSTRIES, INC | NASDAQ |
| BRDS | BIRD GLOBAL, INC. | NYSE |
| BRPM | B. RILEY PRINCIPAL 150 MERGER CORP. | NASDAQ |
| BTCY | BIOTRICITY, INC. | NASDAQ |
| BTTX | BETTER THERAPEUTICS INC | NASDAQ |
| BZ | KANZHUN LTD. | NASDAQ |
| CALT | CALLIDITAS THERAPEUTICS AB | NASDAQ |
| CCMP | CMC MATERIALS, INC. | NASDAQ |
| CERN | CERNER CORP | NASDAQ |
| COCO | VITA COCO COMPANY, INC. | NASDAQ |
| DSP | VARIANT TECHNOLOGY, INC. | NASDAQ |

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|--------------------------------------|----------------------|
| ECNS | ISHARES MSCI CHINA SMALL-CAP ETF | NYSE Arca |
| EMBK | EMBARK TECHNOLOGY, INC | NASDAQ |
| EPAY | BOTTOMLINE TECH DEL INC | NASDAQ |
| ESMT | ENGAGESMART INC | NYSE |
| ESSC | EAST STONE ACQUISITION CORP | NASDAQ |
| EVAX | EVAXION BIOTECH AS | NASDAQ |
| FHTX | FOGHORN THERAPEUTICS, INC. | NASDAQ |
| FTHM | FATHOM HOLDINGS INC. | NASDAQ |
| GRTX | GALERA THERAPEUTICS, INC. | NASDAQ |
| HCM | HUTCHMED (CHINA) LIMITED | NASDAQ |
| HLGN | HELIOGEN, INC. | NYSE |
| HLMN | HILLMAN SOLUTIONS CORPORATION | NASDAQ |
| HURN | HURON CONSULTING GROUP, INC. | NASDAQ |
| IMBI | IMEDIA BRANDS, INC | NASDAQ |
| INNV | INNOVAGE HOLDING CORP | NASDAQ |
| IWV | ISHARES RUSSELL 3000 ETF | NYSE Arca |
| JSPR | JASPER THERAPEUTICS INC | NASDAQ |
| KD | KYNDRYL HOLDINGS INCORPORATION | NYSE |
| MIME | MIMECAST LIMITED | NASDAQ |
| MULN | MULLEN AUTOMOTIVE, INC. | NASDAQ |
| MYTE | MYT NETHERLANDS PARENT BV | NYSE |
| NRDS | NERDWALLET, INC | NASDAQ |
| NVEI | NUVEI CORPORATION | NASDAQ |
| NYC | NEW YORK CITY REIT, INC. | NYSE |
| OLK | OLINK HOLDING | NASDAQ |
| PAY | PAYMENTUS HOLDINGS, INC | NYSE |
| PGJ | INVESCO GOLDEN DRAGON CHINA ETF | NASDAQ |
| PMCB | PHARMACYTE BIOTECH, INC. | NASDAQ |
| PNM | PNM RESOURCES, INC | NYSE |
| PTPI | PETROS PHARMACEUTICALS, INC. | NASDAQ |
| RENT | RENT THE RUNWAY, INC. | NASDAQ |
| RETL | DIREXION DAILY RETAIL BULL 3X SHARES | NYSE Arca |
| SARK | TUTTLE CAPITAL SHORT INNOVATION ETF | NASDAQ |
| SBEA | SILVERBOX ENGAGED MERGER CORP. I | NASDAQ |
| SG | SWEETGREEN, INC. | NYSE |
| SLGL | SOL-GEL TECHNOLOGIES LTD. | NASDAQ |

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|--|----------------------|
| SLNH | SOLUNA HOLDINGS, INC. | NASDAQ |
| SLVM | SYLVAMO CORPORATION | NYSE |
| SMWB | SIMILARWEB LTD. | NYSE |
| SNII | SUPERNOVA PARTNERS ACQUISITION COMPANY II, LTD | NYSE |
| TACO | DEL TACO RESTAURANTS, INC. | NASDAQ |
| TMX | TERMINIX GLOBAL HOLDINGS, INC. | NYSE |
| VEL | VELOCITY FINANCIAL, INC | NYSE |
| YMM | FULL TRUCK ALLIANCE CO. LTD. | NYSE |
| ZEST | ECOARK HOLDINGS, INC. | NASDAQ |

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.