

MIAX Options Exchange Regulatory Circular 2021-54

DATE: September 27, 2021

TO: MIAX Options Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options for Newly Listed Symbols Effective Tuesday, September 28, 2021

The following symbols will start trading on MIAX Options beginning Tuesday, September 28, 2021. As set forth in MIAX Options Rule 503(d) MIAX Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|---------------------------------------|----------------------|
| AEHR | AEHR TEST SYSTEMS | NASDAQ |
| AGFY | AGRIFY CORP. | NASDAQ |
| AMEH | APOLLO MEDICAL HOLDINGS, INC. | NASDAQ |
| ANAT | AMERICAN NATIONAL GROUP, INC. | NASDAQ |
| ANY | SPHERE 3D CORP. | NASDAQ |
| APDN | APPLIED DNA SCIENCES, INC. | NASDAQ |
| ASLN | ASLAN PHARMACEUTICALS LTD. ADR | NASDAQ |
| ASX | ASE TECHNOLOGY HOLDING CO., LTD. | NYSE |
| ATCX | ATLAS TECHNICAL CONSULTANTS, INC. | NASDAQ |
| AUTL | AUTOLUS THERAPEUTICS PLC | NASDAQ |
| BCYC | BICYCLE THERAPEUTICS PLC | NASDAQ |
| BHG | BRIGHT HEALTH GROUP | NYSE |
| BITF | BITFARMS LTD. | NASDAQ |
| BITQ | BITWISE CRYPTO INDUSTRY INNOVATORSETF | NYSE Arca |

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|--|----------------------|
| BLU | BELLUSHEALTH INC. | NASDAQ |
| BMTX | BM TECHNOLOGIES, INC. | AMEX |
| BREZ | BREEZE HOLDINGS ACQUISITION | NASDAQ |
| CFLT | CONFLUENT, INC. | NASDAQ |
| CIO | CITY OFFICE REIT, INC. | NYSE |
| CLPR | CLIPPER REALTY, INC. | NYSE |
| COOK | TRAEGER, INC. | NYSE |
| CRCT | CRICUT, INC. | NASDAQ |
| CRTD | CREATD, INC. | NASDAQ |
| CXP | COLUMBIA PROPERTY TRUST, INC. | NYSE |
| DCRC | DECARBONIZATION PLUS ACQUISITION CORPORATION III | NASDAQ |
| DGNS | DRAGONEER GROWTH CORP | NASDAQ |
| DLPN | DOLPHIN ENTERTAINMENT INC | NASDAQ |
| DOCS | DOXIMITY, INC | NYSE |
| DOOO | BRP, INC. | NASDAQ |
| DRVN | DRIVEN BRANDS HOLDINGS INC | NASDAQ |
| DUOL | DUOLINGO, INC. | NASDAQ |
| ESSC | EAST STONE ACQUISITION CORP | NASDAQ |
| FARM | FARMER BROS. CO. | NASDAQ |
| FULC | FULCRUM THERAPEUTICS, INC. | NASDAQ |
| GGPI | GORES GUGGENHEIM INC | NASDAQ |
| GSL | GLOBAL SHIP LEASE, INC. | NYSE |
| GTBP | GT BIOPHARMA INC | NASDAQ |
| GTIM | GOOD TIMES RESTAURANTS INC. | NASDAQ |
| GTS | TRIPLE S MANAGEMENT CORPORATION | NYSE |
| HIPO | HIPPO HOLDINGS INC. | NYSE |
| HJLI | HANCOCK JAFFE LABORATORIES, INC. | NASDAQ |
| HLLY | HOLLEY INC. | NYSE |
| HMLP | HOEGH LNG PARTNERS LP | NYSE |
| HNP | HUANENG POWER INTERNATIONAL, INC. ADS | NYSE |
| IEC | IEC ELECTRONICS CORP | NASDAQ |
| IMAB | I-MAB SPONSORED ADR | NASDAQ |
| IMNM | IMMUNOME, INC | NASDAQ |

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|--|----------------------|
| INTT | INTEST CORPORATION | AMEX |
| IPA | IMMUNOPRECISE ANTIBODIES LTD. | NASDAQ |
| ITOT | ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF | NYSE Arca |
| JEPI | JPMORGAN EQUITY PREMIUM INCOME ETF | NYSE Arca |
| KNBE | KNOWBE4, INC. | NASDAQ |
| KVSB | KHOSLA VENTURES ACQUISITION CO. II | NASDAQ |
| KXIN | KAIXIN AUTO HOLDINGS | NASDAQ |
| LFST | LIFESTANCE HEALTH GROUP, INC. | NASDAQ |
| LIFE | ATYR PHARMA, INC. | NASDAQ |
| LUNA | LUNA INNOVATIONS INC | NASDAQ |
| MCW | MISTER CAR WASH INC | NYSE |
| MDVL | MEDAVAIL HOLDINGS, INC. | NASDAQ |
| METC | RAMACO RESOURCES, INC. | NASDAQ |
| MIRM | MIRUM PHARMACEUTICALS, INC. | NASDAQ |
| MNDY | MONDAY.COM LTD | NASDAQ |
| MOSY | MOSYS, INC. | NASDAQ |
| MQ | MARQETA, INC | NASDAQ |
| NINE | NINE ENERGY SERVICE INC | NYSE |
| NRXP | NRX PHARMACEUTICALS, INC | NASDAQ |
| OBLG | OBLONG, INC. | NASDAQ |
| OCG | ORIENTAL CULTURE HOLDING LTD | NASDAQ |
| ONDS | ONDAS HOLDINGS, INC. | NASDAQ |
| ORPH | ORPHAZYME AS | NASDAQ |
| PANL | PANGAEA LOGISTICS SOLUTIONS LTD. | NASDAQ |
| PSTX | POSEIDA THERAPEUTICS, INC. | NASDAQ |
| QTRX | QUANTERIX CORPORATION | NASDAQ |
| RADI | RADIUS GLOBAL INFRASTRUCTURE INC | NASDAQ |
| RBOT | VICARIOUS SURGICAL INC. | NYSE |
| RLAY | RELAY THERAPEUTICS INC | NASDAQ |
| RLX | RLX TECHNOLOGY INC | NYSE |
| RNA | AVIDITY BIOSCIENCES INC | NASDAQ |
| RYB | RYB EDUCATION INC. | NYSE |
| S | SENTINELONE, INC | NYSE |

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|--|----------------------|
| SAFM | SANDERSON FARMS IN | NASDAQ |
| SANA | SANA BIOTECHNOLOGY INC. | NASDAQ |
| SC | SANTANDER CONSUMER USA | NYSE |
| SGOC | SGOCO GROUP LTD. | NASDAQ |
| SGTX | SIGILON THERAPEUTICS, INC. | NASDAQ |
| SKM | SK TELECOM CO. LTD | NYSE |
| SKYT | SKYWATER TECHNOLOGY INC | NASDAQ |
| SLI | STANDARD LITHIUM LTD. | AMEX |
| SPFR | JAWS SPITFIRE ACQUISITION CORP. | NYSE |
| STKS | ONE GROUP HOSPITALITY, INC. | NASDAQ |
| STMP | STAMPS.COM INC | NASDAQ |
| SUPV | GRUPO SUPERVIELLE SA | NYSE |
| TASK | TASKUS, INC. | NASDAQ |
| TGLS | TECNOGLASS INC. | NASDAQ |
| THD | ISHARES MSCI THAILAND ETF | NYSE Arca |
| TLIS | TALIS BIOMEDICAL CORP. | NASDAQ |
| VHT | VANGUARD HEALTH CARE ETF | NYSE Arca |
| VRCA | VERRICA PHARMACEUTICALS INC. | NASDAQ |
| VTIP | VANGUARD SHORT-TERM INFLATION-PROTECTED SECURITIES | NASDAQ |
| VTWO | VANGUARD RUSSELL 2000 ETF | NASDAQ |
| VYGG | VY GLOBAL GROWTH | NYSE |
| WISA | SUMMIT WIRELESS TECHNOLOGIES, INC. | NASDAQ |
| XELA | EXELA TECHNOLOGIES INC | NASDAQ |
| XENE | XENON PHARMACEUTICALS INC. | NASDAQ |
| XFOR | X4 PHARMACEUTICALS, INC. | NASDAQ |
| XONE | EXONE CO. | NASDAQ |
| YOU | CLEAR SECURE, INC | NYSE |
| ZNTL | ZENTALIS PHARMACEUTICALS, INC. | NASDAQ |
| ZY | ZYMERGEN INC | NASDAQ |

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.