

MIAX Options Exchange Regulatory Circular 2021-40

DATE: July 30, 2021

TO: MIAX Options Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options for Newly Listed Symbols Effective Monday, August 2, 2021

The following symbols will start trading on MIAX Options beginning Monday, August 2, 2021. As set forth in MIAX Options Rule 503(d) the MIAX Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ACVA	ACV AUCTIONS, INC.	NASDAQ
AEMD	AETHLON MEDICAL, INC.	NASDAQ
AJRD	AEROJET ROCKETDYNE HOLDINGS, INC.	NYSE
AMR	ALPHA METALLURGICAL RESOURCES, INC.	NYSE
AMSWA	AMERICAN SOFTWARE, INC.	NASDAQ
ARAV	ARAVIVE, INC.	NASDAQ
ARC	ARC DOCUMENT SOLUTIONS, INC.	NYSE
ATHA	ATHIRA PHARMA, INC.	NASDAQ
AVNW	AVIAT NETWORKS, INC	NASDAQ
AXLA	AXCELLA HEALTH, INC.	NASDAQ
BLRX	BIOLINE RX LTD.	NASDAQ
BNL	BROADSTONE NET LEASE, INC.	NYSE
BUG	GLOBAL XCYBER SECURITY	NASDAQ
BYSI	BEYONDSRING, INC.	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
CBLI	CLEVELAND BIOLABS, INC.	NASDAQ
CCCC	C4 THERAPEUTICS, INC.	NASDAQ
CERE	CEREVEL THERAPEUTICS HOLDINGS, INC.	NASDAQ
CKPT	CHECKPOINT THERAPEUTICS, INC.	NASDAQ
CLPT	CLEARPOINT NEURO, INC.	NASDAQ
CRC	CALIFORNIA RESOURCES CORP.	NYSE
CTRM	CASTOR MARITIME, INC.	NASDAQ
CTXR	CITIUS PHARMACEUTICALS, INC.	NASDAQ
CWEB	DIREXION DAILY CSI CHINA INTERNET INDEX BULL 2X SHARES	NYSE Arca
DEA	EASTERLY GOVERNMENT PROPERTIES, INC.	NYSE
DEN	DENBURY, INC.	NYSE
DLO	DLOCAL LTD.	NASDAQ
DNUT	KRISPY KREME, INC.	NASDAQ
DOMA	DOMA HOLDINGS, INC.	NYSE
DPST	DIREXION DAILY REGIONAL BANKS BULL 3X SHARES	NYSE Arca
DRIO	DARIOHEALTH CORP.	NASDAQ
DV	DOUBLEVERIFY HOLDINGS, INC.	NYSE
EGLX	ENTHUSIAST GAMING HOLDINGS, INC.	NASDAQ
ENTX	ENTERA BIO LTD.	NASDAQ
EVLO	EVELO BIOSCIENCES, INC.	NASDAQ
FBRX	FORTE BIOSCIENCES, INC.	NASDAQ
FRHC	FREEDOM HOLDING CORP.	NASDAQ
FUL	FULLER (H.B.) CO.	NYSE
FWRD	FORWARD AIR CORPORATION	NASDAQ
FYBR	FRONTIER COMMUNICATIONS PARENT, INC.	NASDAQ
GDYN	GRID DYNAMICS HOLDINGS, INC.	NASDAQ
GLBS	GLOBUS MARITIME LIMITED	NASDAQ
GNPK	GENESIS PARK ACQUISITION CORP.	NYSE
GOED	1847 GOEDEKER, INC.	AMEX
GRAY	GRAYBUG VISION, INC.	NASDAQ
GROY	GOLD ROYALTY CORP.	AMEX
GSAH	GS ACQUISITION HOLDINGS CORP II	NYSE
GTX	GARRETT MOTION, INC.	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
GWAC	VINTAGE WINE ESTATES, INC.	NASDAQ
HCI	HCI GROUP, INC.	NYSE
HDV	ISHARES CORE HIGH DIVIDEND ETF	NYSE Arca
HITI	HIGH TIDE, INC.	NASDAQ
HUT	HUT 8 MINING CORP.	NASDAQ
ICF	ISHARES COHEN & STEERS REIT ETF	BATS
IIIN	INSTEEL INDUSTRIES, INC.	NYSE
INMB	IMMUNE BIO, INC.	NASDAQ
INSE	INSPIRED ENTERTAINMENT, INC.	NASDAQ
JFIN	JIAYIN GROUP, INC.	NASDAQ
KMDA	KAMADA LTD.	NASDAQ
LDL	LYDALL, INC.	NYSE
LEAP	RIBBIT LEAP LIMITED	NYSE
LMAT	LEMAITRE VASCULAR, INC.	NASDAQ
LMNL	LIMINAL BIOSCIENCES, INC.	NASDAQ
LUNG	PULMONX CORP.	NASDAQ
MIXT	MIX TELEMATICS LTD.	NYSE
MMAT	META MATERIALS, INC.	NASDAQ
MRIN	MARIN SOFTWARE INCORPORATED	NASDAQ
MRTN	MARTEN TRANSPORT, LTD.	NASDAQ
MYPS	PLAYSTUDIOS, INC.	NASDAQ
NEBC	NEBULA CARAVEL ACQUISITION CORP.	NASDAQ
NOVN	NOVAN, INC.	NASDAQ
NRBO	NEUROBO PHARMACEUTICALS, INC.	NASDAQ
OCFT	ONECONNECT FINANCIAL TECHNOLOGY CO LTD	NYSE
OCUP	OCUPHIRE PHARMA, INC.	NASDAQ
OGN	ORGANON & CO.	NYSE
OLO	OLO, INC.	NYSE
OMAB	GRUPO AEROPORTUARIO DEL CENTRO NORTE SAB DE CV	NASDAQ
OPFI	OPPFI, INC.	NYSE
ORLA	ORLA MINING LTD.	AMEX
OWLT	OWLET, INC.	NYSE
PASG	PASSAGE BIO, INC.	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
PEJ	INVESCO DYNAMIC LEISURE & ENTERTAINMENT ETF	NYSE Arca
PRPH	PROPHASE LABS, INC.	NASDAQ
PRPO	PRECIPIO, INC.	NASDAQ
PUBM	PUBMATIC, INC.	NASDAQ
PWP	PERELLA WEINBERG PARTNERS	NASDAQ
QRHC	QUEST RESOURCE HOLDING CORPORATION	NASDAQ
QTS	QTS REALTY TRUST, INC.	NYSE
QUMU	QUMU CORPORATION	NASDAQ
RAVN	RAVEN INDUSTRIES, INC.	NASDAQ
RCEL	AVITA THERAPEUTICS, INC.	NASDAQ
RCON	RECON TECHNOLOGY LTD.	NASDAQ
REE	REE AUTOMOTIVE LTD.	NASDAQ
RFL	RAFAEL HOLDINGS, INC.	NYSE
RICE	RICE ACQUISITION CORP.	NYSE
SAIL	SOFTWARE ACQUISITION GROUP, INC. II	NASDAQ
SGFY	SIGNIFY HEALTH, INC.	NYSE
SHEN	SHENANDOAH TELECOMMUNICATIONS CO.	NASDAQ
SJR	SHAW COMMUNICATIONS, INC.	NYSE
SNP	CHINA PETROLEUM AND CHEMICAL CORP.	NYSE
SRNG	SOARING EAGLE ACQUISITION CORP.	NASDAQ
SYKE	SYKES ENTERPRISES	NASDAQ
TALK	TALKSPACE, INC.	NASDAQ
TBLA	TABoola.COM, LTD.	NASDAQ
TPVG	TRIPLEPOINT VENTURE GROWTH B	NYSE
TREB	TREBIA ACQUISITION CORP.	NYSE
TUEM	TUESDAY MORNING CORPORATION	NASDAQ
ULCC	FRONTIER GROUP HOLDINGS, INC.	NASDAQ
UP	WHEELS UP EXPERIENCE, INC.	NYSE
USCR	U.S. CONCRETE, INC.	NASDAQ
VACQ	VECTOR ACQUISITION CORPORATION	NASDAQ
VMEO	VIMEO, INC.	NASDAQ
VOLT	VOLT INFORMATION SCIENCES, INC.	AMEX
VVOS	VIVOS THERAPEUTICS, INC.	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
WFRD	WEATHERFORD INTERNATIONAL PLC	NASDAQ
WKEY	WISEKEY INTERNATIONAL HOLDING LTD.	NASDAQ
WLTW	WILLIS TOWERS WATSON PUBLIC LIMITED COMPANY	NASDAQ
ZIP	ZIPRECRUITER, INC.	NYSE

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.