

MIAX Options Exchange Regulatory Circular 2021-15
MIAX Pearl Options Exchange Regulatory Circular 2021-11
MIAX Emerald Exchange Regulatory Circular 2021-13

DATE: March 8, 2021

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Change to the Market for Underlying Security Used for Openings on MIAX Options, MIAX Pearl and MIAX Emerald for Symbol OCX Effective March 8, 2021

OncoCyte Corporation (“OCX”) will transfer primary listing from the NYSE American to the Nasdaq Global Market (“NASDAQ”) effective Monday, March 8, 2021. As set forth in MIAX Options Rule 503(d), MIAX Pearl Rule 503(a)(3) and MIAX Emerald Rule 503(d), the exchanges shall use NASDAQ as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
OCX	ONCOCYTE CORPORATON	NASDAQ

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.