

MIAX Options Exchange Regulatory Circular 2020-73
MIAX Pearl Exchange Regulatory Circular 2020-61
MIAX Emerald Exchange Regulatory Circular 2020-64

DATE: December 16, 2020

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options, MIAX Pearl and MIAX Emerald for Newly Listed Symbol AI Effective Thursday, December 17, 2020

The following symbol will start trading on MIAX Options, MIAX Pearl Options and MIAX Emerald Options beginning Thursday, December 17, 2020. As set forth in MIAX Options Rule 503(d), MIAX Pearl Rule 503(a)(3) and MIAX Emerald Rule 503(d), the exchanges shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
AI	C3.AI, INC.	NYSE

Please direct questions to the Regulatory Department at Regulatory@MIAOptions.com or (609) 897-7309.