



**DATE:** January 6, 2020  
**TO:** MIAX Exchange Members  
**FROM:** MIAX Exchange Regulatory Department  
**RE:** Consolidated Audit Trail Industry Webinar – CAT 101

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On **Wednesday, January 8, 2020, at 2:00 p.m. ET**, Consolidated Audit Trail, LLC and FINRA CAT, LLC will host an industry webinar entitled “CAT 101”. This webinar is designed for Industry Members that may be new to, or less familiar with, the Consolidated Audit Trail (CAT) and will provide an introduction to the reporting requirements, timelines and mechanics of the CAT. The event will be held at 2:00 p.m. ET.

Please note that FINRA CAT, LLC is now utilizing Zoom to conduct industry webinars. Please check your firewall to ensure your firm has the ability to view Zoom content. If you are unable to download and run Zoom, please click the “join from your browser” link. The presentation document and recording will be posted.

### **Zoom Webinar Information**

Topic: CAT 101

Date: Wednesday, January 8, 2020

Time: 2:00 p.m., ET (New York, GMT-04:00)

Meeting Number: 255-354-609

[Join the online meeting](#)

#### **Join by Telephone**

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US (Toll): +1 669 444 9133

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Meeting Number: 255-354-609

[Zoom International Dial-In Numbers](#)

MIAX Options Regulatory Circular 2020-01  
MIAX PEARL Regulatory Circular 2020-01  
MIAX Emerald Regulatory Circular 2020-01



## **Additional Information**

This webinar will be recorded and made available for replay at the CAT NMS website. For additional information refer to the [CAT NMS News](#) website. Questions should be directed to the FINRA CAT Help Desk at [help@finracat.com](mailto:help@finracat.com).

Members may subscribe to CAT alerts by selecting “Subscribe” on the CAT Alerts page at <https://www.catnmsplan.com/cat-alerts/index.html>

Questions should be directed to [Regulatory@MIAXOptions.com](mailto:Regulatory@MIAXOptions.com) or (609) 897-7309.