

MIAX Options Regulatory Circular 2019-66
MIAX PEARL Regulatory Circular 2019-41
MIAX Emerald Regulatory Circular 2019-92



DATE: December 19, 2019
TO: MIAX Exchange Group Members
FROM: MIAX Exchange Group Membership Department
RE: Electronic Filing of Annual Reports

This notification is a reminder that, in connection with the Regulatory Services Agreement between the Exchange and FINRA, the filing of annual reports described in MIAX Options Rule 803, Audits, which is incorporated by reference into both the MIAX PEARL and MIAX Emerald Exchange Rule Books, and thus applicable to Members of both Exchanges, has been transitioned to the electronic filing platform available via FINRA's Firm Gateway. FINRA's Firm Gateway (<https://firms.finra.org>) is a portal that provides consolidated access to various FINRA regulatory systems.

Other confidential or financial member firm filings may be sent to:

MemberServices@miami-holdings.com

FINRA Firm Gateway technical questions may be directed to FINRA's technical support group at (301) 869-6699.

Further questions may be directed to the Exchange's Membership Department at MemberServices@miami-holdings.com or (609) 897-1479.