



**DATE:** August 31, 2020

**TO:** MIAX Exchange Members

**FROM:** MIAX Exchange Regulatory Department

**RE:** Market for Underlying Security Used for Openings on MIAX PEARL Options and MIAX Emerald for Newly Listed Symbols Effective Tuesday, September 1, 2020

The following symbols will start trading on MIAX PEARL Options and MIAX Emerald beginning Tuesday, September 1, 2020. As set forth in MIAX PEARL Rule 503(a)(3) and MIAX Emerald Rule 503(d), each Exchange shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

| Security Symbol | Security Name                          | Option Class | Exchange for Opening |
|-----------------|--|--------------|----------------------|
| ACC             | American Campus Communities, Inc.      | ACC          | NYSE                 |
| ACMR            | ACM Research, Inc.                     | ACMR         | NASDAQ               |
| ALLO            | Allogene Therapeutics, Inc.            | ALLO         | NASDAQ               |
| ALT             | Altimune, Inc.                         | ALT          | NASDAQ               |
| ARCT            | Arcturus Therapeutics Holdings, Inc.   | ARCT         | NASDAQ               |
| ARKK            | ARK Innovation ETF                     | ARKK         | NYSE Arca            |
| BETZ            | Roundhill Sports Betting & iGaming ETF | BETZ         | NYSE Arca            |
| BILL            | Bill.com Holdings, Inc                 | BILL         | NYSE                 |
| BNTX            | BioNTech SE                            | BNTX         | NASDAQ               |
| BPYU            | Brookfield Property REIT, Inc.         | BPYU         | NASDAQ               |
| BTAI            | BioXcel Therapeutics, Inc.             | BTAI         | NASDAQ               |
| CAPR            | Capricor Therapeutics, Inc.            | CAPR         | NASDAQ               |
| CARR            | Carrier Global Corporation             | CARR         | NYSE                 |
| CHEF            | The Chefs' Warehouse, Inc.             | CHEF         | NASDAQ               |
| CLSN            | Celsion Corporation                    | CLSN         | NASDAQ               |
| CNHI            | CNH Industrial N.V.                    | CNHI         | NYSE                 |
| CNNE            | Cannae Holdings, Inc.                  | CNNE         | NYSE                 |



|      |   |      |           |
|------|---|------|-----------|
| CNST | Constellation Pharmaceuticals, Inc.                             | CNST | NASDAQ    |
| COOP | Mr. Cooper Group, Inc.  | COOP | NASDAQ    |
| CRNC | Cerence Inc.  | CRNC | NASDAQ    |
| DRIP | Direxion Daily S&P Oil & Gas Exp. & Prod. Bear 2X Shares (DRIP) | DRIP | NYSE Arca |
| EQX  | Equinox Gold Corp.  | EQX  | AMEX      |
| EURN | Euronav NV  | EURN | NYSE      |
| EVFM | Evoform Biosciences, Inc.                                       | EVFM | NASDAQ    |
| FCAU | Fiat Chrysler Automobiles N.V.                                  | FCAU | NYSE      |
| FVRR | Fiverr International Ltd.                                       | FVRR | NYSE      |
| GAN  | GAN Limited   | GAN  | NASDAQ    |
| GILT | Gilat Satellite Networks Ltd.                                   | GILT | NASDAQ    |
| GNMK | GenMark Diagnostics, Inc.                                       | GNMK | NASDAQ    |
| GNPX | Genprex, Inc.   | GNPX | NASDAQ    |
| GNUS | Genius Brands International, Inc.                               | GNUS | NASDAQ    |
| HTZ  | Hertz Global Holdings, Inc.                                     | HTZ  | NYSE      |
| INMD | InMode Ltd.   | INMD | NASDAQ    |
| INVH | Invitation Homes, Inc.  | INVH | NYSE      |
| KTB  | Kontoor Brands, Inc.  | KTB  | NYSE      |
| LAKE | Lakeland Industries, Inc.                                       | LAKE | NASDAQ    |
| LOVE | The Lovesac Company   | LOVE | NASDAQ    |
| MFA  | MFA Financial, Inc.   | MFA  | NYSE      |
| MGP  | MGM Growth Properties LLC                                       | MGP  | NYSE      |
| MYOK | MyoKardia, Inc.   | MYOK | NASDAQ    |
| NAIL | Direxion Daily Homebuilders & Supplies Bull 3X Shares           | NAIL | NYSE Arca |
| NBLX | Noble Midstream Partners LP                                     | NBLX | NASDAQ    |
| NBR  | Nabors Industries Ltd.  | NBR  | NYSE      |
| ONEM | 1Life Healthcare, Inc.  | ONEM | NASDAQ    |
| OTIS | Otis Worldwide Corporation                                      | OTIS | NYSE      |
| OTRK | Ontrak, Inc.  | OTRK | NASDAQ    |
| OVID | Ovid Therapeutics, Inc.   | OVID | NASDAQ    |
| PFGC | Performance Food Group Company                                  | PFGC | NYSE      |
| PK   | Park Hotels & Resorts, Inc.                                     | PK   | NYSE      |



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|------|--|------|-----------|
| PPD  | PPD, Inc.  | PPD  | NASDAQ    |
| PRPL | Purple Innovation, Inc.                          | PRPL | NASDAQ    |
| PVAC | Penn Virginia Corporation                        | PVAC | NASDAQ    |
| QTNT | Quotient Limited                                 | QTNT | NASDAQ    |
| SKYY | First Trust Cloud Computing ETF                  | SKYY | NASDAQ    |
| SLNO | Soleno Therapeutics, Inc.                        | SLNO | NASDAQ    |
| SPHD | Invesco S&P 500 High Dividend Low Volatility ETF | SPHD | NYSE Arca |
| SSL  | Sasol Limited                                    | SSL  | NYSE      |
| TALO | Talos Energy, Inc.                               | TALO | NYSE      |
| TBIO | Translate Bio, Inc.                              | TBIO | NASDAQ    |
| TIF  | Tiffany & Co.                                    | TIF  | NYSE      |
| TRIB | Trinity Biotech plc                              | TRIB | NASDAQ    |
| TRTX | TPG RE Finance Trust, Inc.                       | TRTX | NYSE      |
| USL  | United States 12 Month Oil Fund, LP              | USL  | NYSE Arca |
| VBIV | VBI Vaccines, Inc.                               | VBIV | NASDAQ    |
| VERI | Veritone, Inc.                                   | VERI | NASDAQ    |
| VVNT | Vivint Smart Home, Inc.                          | VVNT | NYSE      |
| WKHS | Workhorse Group, Inc.                            | WKHS | NASDAQ    |
| WMG  | Warner Music Group Corp.                         | WMG  | NASDAQ    |
| WRTC | Wrap Technologies, Inc.                          | WRTC | NASDAQ    |
| WSC  | WillScot Corporation                             | WSC  | NASDAQ    |
| WTRH | Waitr Holdings, Inc.                             | WTRH | NASDAQ    |
| XP   | XP, Inc.   | XP   | NASDAQ    |
| XSPA | XpresSpa Group, Inc.                             | XSPA | NASDAQ    |
| ZYXI | Zynex, Inc.                                      | ZYXI | NASDAQ    |

Please direct questions to the Regulatory Department at [Regulatory@MIAXOptions.com](mailto:Regulatory@MIAXOptions.com) or (609) 897-7309.