

MIAX Emerald Options Exchange Regulatory Circular 2023-09

DATE: March 06, 2023

TO: MIAX Emerald Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Emerald Options for Newly Listed

Symbols Effective Tuesday, March 07, 2023.

The following symbols will start trading on MIAX Emerald Options beginning Tuesday, March 07, 2023. As set forth in MIAX Emerald Rule 503(d), MIAX Emerald shall use the exchange identified below as the "market for the underlying security" for the purpose of Openings on the Exchange.

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|---|----------------------|
| AIRS | AIRSCULPT TECHNOLOGIES, INC. | NASDAQ |
| AMAM | AMBRX BIOPHARMA, INC. | NYSE |
| APGN | APEXIGEN, INC. | NASDAQ |
| ASPS | ALTISOURCE PORTFOLIO SOLUTIONS SA | NASDAQ |
| ATRO | ASTRONICS CORPORATION | NASDAQ |
| AVDV | AVANTIS INTERNATIONAL SMALL CAP VALUE ETF | NYSE Arca |
| BN | BROOKFIELD CORPORATION | NYSE |
| BXSL | BLACKSTONE SECURED LENDING FUND | NYSE |
| CACC | CREDIT ACCEPTANCE CORPORATION | NASDAQ |
| CBL | CBL & ASSOCIATES PROPERTIES, INC. | NYSE |
| CDTX | CIDARA THERAPEUTICS, INC. | NASDAQ |
| DFLI | DRAGONFLY ENERGY HOLDINGS CORP. | NASDAQ |
| EXAI | EXSCIENTIA PLC | NASDAQ |
| FNA | PARAGON 28, INC. | NYSE |
| GEHC | GE HEALTHCARE TECHNOLOGIES, INC. | NASDAQ |
| GNS | GENIUS GROUP LIMITED | AMEX |
| HFFG | HF FOODS GROUP, INC. | NASDAQ |



| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|--|----------------------|
| INZY | INOZYME PHARMA, INC. | NASDAQ |
| JEPQ | J.P. MORGAN NASDAQ EQUITY PREMIUM INCOME ETF | NASDAQ |
| MMS | MAXIMUS, INC. | NYSE |
| MREO | MEREO BIOPHARMA GROUP PLC | NASDAQ |
| NAK | NORTHERN DYNASTY MINERALS LTD. | AMEX |
| ONEW | ONEWATER MARINE, INC. | NASDAQ |
| PESI | PERMA-FIX ENVIRONMENTAL SERVICES, INC. | NASDAQ |
| RAIN | RAIN ONCOLOGY, INC. | NASDAQ |
| RGS | REGIS CORP. | NYSE |
| TSVT | 2SEVENTY BIO, INC. | NASDAQ |
| VTGN | VISTAGEN THERAPEUTICS, INC. | NASDAQ |
| WSO | WATSCO, INC. | NYSE |
| WULF | TERAWULF, INC. | NASDAQ |
| ZIMV | ZIMVIE, INC. | NASDAQ |

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.