

MIAX Options Exchange Regulatory Circular 2022-74
MIAX Pearl Options Exchange Regulatory Circular 2022-63
MIAX Emerald Options Exchange Regulatory Circular 2022-69

DATE: December 14, 2022

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Change to the Market for Underlying Security Used for Openings on MIAX Options, MIAX Pearl Options and MIAX Emerald Options for Symbol LOB Effective Wednesday, December 14, 2022

Live Oak Bancshares, Inc. (“LOB”) has transferred primary listing from the NASDAQ Stock Market LLC to the New York Stock Exchange (“NYSE”) on Wednesday, December 14, 2022. As set forth in MIAX Options Rule 503(d), MIAX Pearl Rule 503(a)(3) and MIAX Emerald Rule 503(d), the exchanges shall use NYSE as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
LOB	LIVE OAK BANCSHARES, INC.	NYSE

Please direct questions to the Regulatory Department at Regulatory@MIAOptions.com or (609) 897-7309.