

MIAX Options Exchange Regulatory Circular 2022-65
MIAX Pearl Options Exchange Regulatory Circular 2022-56
MIAX Emerald Options Exchange Regulatory Circular 2022-60

DATE: October 26, 2022

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Change to the Market for Underlying Security Used for Openings on MIAX Options, MIAX Pearl Options and MIAX Emerald Options for Symbol SFE Effective Wednesday, October 26, 2022

Safeguard Scientifics, Inc. (“SFE”) has transferred primary listing from the New York Stock Exchange to the NASDAQ Capital Markets (“NASDAQ”) on Wednesday, October 26, 2022. As set forth in MIAX Options Rule 503(d), MIAX Pearl Rule 503(a)(3) and MIAX Emerald Rule 503(d), the exchanges shall use NASDAQ as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
SFE	SAFEGUARD SCIENTIFICS, INC.	NASDAQ

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.