

MIAX Emerald Options Exchange Regulatory Circular 2022-13

DATE: March 2, 2022

TO: MIAX Emerald Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Emerald Options for Newly Listed Symbols Effective Thursday, March 3, 2022

The following symbols will start trading on MIAX Emerald Options beginning Thursday, March 3, 2022. As set forth in MIAX Emerald Rule 503(d), MIAX Emerald shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ACHV	ACHIEVE LIFE SCIENCES, INC.	NASDAQ
AMRK	A-MARK PRECIOUS METALS, INC.	NASDAQ
AMYT	AMRYT PHARMA PLC	NASDAQ
APP	APPLOVIN CORP	NASDAQ
APR	APRIA INC	NASDAQ
ATAI	ATAI LIFE SCIENCES N.V.	NASDAQ
BCAB	BIOATLA, INC.	NASDAQ
BIRD	ALLBIRDS, INC. CLASS A	NASDAQ
BOXD	BOXED INC COM	NYSE
BRKL	BROOKLINE BANCORP, INC.	NASDAQ
BRLT	BRILLIANT EARTH GROUP, INC.	NASDAQ
DCGO	DOCGO INC COM	NASDAQ
EXTN	EXTERRAN CORPORATION COMMON STOCK	NYSE
FALN	ISHARES FALLEN ANGELS USD BOND ETF	NASDAQ
FARO	FARO TECHNOLOGIES	NASDAQ
FLMN	FALCON MINERALS CORPORATION	NASDAQ
FRG	FRANCHISE GROUP, INC.	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ICLR	ICON PLC	NASDAQ
JOAN	JOANN, INC.	NASDAQ
LRMR	LARIMAR THERAPEUTICS, INC.	NASDAQ
NAUT	NAUTILUS BIOTECHNOLOGY, INC.	NASDAQ
NKTX	NKARTA INC	NASDAQ
OLPX	OLAPLEX HOLDINGS INC	NASDAQ
PECO	PHILLIPS EDISON & COMPANY, INC.	NASDAQ
PL	PLANET LABS PBC	NYSE
PRLD	PRELUDE THERAPEUTICS, INC.	NASDAQ
QQQE	DIREXION NASDAQ-100 EQUAL WEIGHTED INDEX SHARES	NYSE Arca
REXR	REXFORD INDUSTRIAL REALTY, INC.	NYSE
RXDX	PROMETHEUS BIOSCIENCES	NASDAQ
SAIA	SAIA, INC.	NASDAQ
SBEV	SPLASH BEVERAGE GROUP, INC.	AMEX
SHPW	SHAPEWAYS HOLDINGS, INC.	NYSE
SMRT	SMARTRENT, INC.	NYSE
SRRK	SCHOLAR ROCK HOLDING CORP.	NASDAQ
SSD	SIMPSON MANUFACTURING CO., INC.	NYSE
SWTX	SPRINGWORKS THERAPEUTICS INC	NASDAQ
TCN	TRICON RESIDENTIAL INC	NYSE
UDMY	UDEMY, INC.	NASDAQ
UONE	URBAN ONE INC	NASDAQ
VIRX	VIRACTA THERAPEUTICS, INC.	NASDAQ
VORB	VIRGIN ORBIT HOLDINGS, INC.	NASDAQ
WRBY	WARBY PARKER, INC. CLASS A	NYSE
XSD	SPDR S&P SEMICONDUCTOR ETF	NYSE Arca

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.