

MIAX Options Exchange Regulatory Circular 2022-12
MIAX Pearl Options Exchange Regulatory Circular 2022-12
MIAX Emerald Options Exchange Regulatory Circular 2022-12

DATE: March 1, 2022

TO: MIAX Options, MIAX Pearl and MIAX Emerald Members

FROM: MIAX Exchange Group Regulatory Department

RE: Amendment to Rule 1308, Supervision of Accounts, to Extend the Temporary Remote Inspection Relief for Members to Complete Their Branch Office Inspections

This Regulatory Circular is to inform MIAX Options, MIAX Pearl and MIAX Emerald Members that a proposed rule change to amend all three Exchanges' Rule 1308, Supervision of Accounts, has become effective, pursuant to a notice recently issued by the Securities and Exchange Commission ("SEC").

The proposed rule change to Rule 1308 will extend the temporary remote inspection relief for Members to complete their branch office inspections for calendar years 2020 and 2021 to include calendar year 2022 through December 31, 2022.

MIAX Options' Rule 1308, as amended, is incorporated by reference into the MIAX Pearl and MIAX Emerald Rule Books, and thus are MIAX Pearl and MIAX Emerald Rules applicable to MIAX Pearl and MIAX Emerald Members. MIAX Pearl and MIAX Emerald Members are required to comply with MIAX Options Rule 1308, as amended, as though such rule was fully set forth in the MIAX Pearl and MIAX Emerald Rule Books.

MIAX Options, MIAX Pearl and MIAX Emerald encourage all Members to review these changes in their entirety, which can be found in the rule filing, [SR-MIAX-2022-09](#).

Contact MIAX Trading Operations at TradingOperations@MIAXOptions.com or (609) 897-7302 with any questions about the changes.

Regulatory inquiries should be directed to Regulatory@MIAXOptions.com or (609) 897-7309.