

MIAX Options Exchange Regulatory Circular 2022-06
MIAX Pearl Options Exchange Regulatory Circular 2022-06
MIAX Emerald Options Exchange Regulatory Circular 2022-06

DATE: February 14, 2022

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options, MIAX Pearl Options and MIAX Emerald Options for Symbol HTBX Effective Monday, February 14, 2022

Heat Biologics, Inc. (“HTBX”) transferred primary listing from the NASDAQ Capital Markets to the NYSE American Stock Exchange (“NYSE American”) effective Monday, February 14, 2022. As set forth in MIAX Options Rule 503(d), MIAX Pearl Rule 503(a)(3) and MIAX Emerald Rule 503(d), the exchanges shall use NYSE American as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
HTBX	HEAT BIOLOGICS, INC.	NYSE American

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.