



#34163

TO: ALL CLEARING MEMBERS

DATE: FEBRUARY 21, 2014

SUBJECT: REAL GOODS SOLAR, INC. – SYMBOL CHANGE
OPTION SYMBOL: RSOL
NEW SYMBOL: RGSE
DATE: 2/24/14

The Options Clearing Corporation (OCC) has been advised that Real Goods Solar, Inc. (RSOL) will change its trading symbol to “RGSE.” As a result, option symbols RSOL will also change to RGSE effective at the opening of business on February 24, 2014.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol RGSE commencing February 24, 2014.

DATE: February 24, 2014

OPTION SYMBOL: RSOL changes to RGSE

UNDERLYING SECURITY: RSOL changes to RGSE

CONTRACT MULTIPLIER: 1

STRIKE DIVISOR: 1

NEW MULTIPLIER: 100

DELIVERABLE PER CONTRACT: 100 Real Goods Solar, Inc. (RGSE) Class A Common Shares

CUSIP NUMBER: 75601N104

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by a panel of The OCC Securities Committee pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The adjustment panel is comprised of representatives from OCC and each exchange which trades the affected option. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

Questions regarding this memo can be addressed to Member Services at (800) 544-6091. Within Canada call (800) 424-7320.

CATEGORY: OPERATIONS