

#36173

DATE: FEBRUARY 5, 2015

SUBJECT: NORTHEAST UTILITIES – NAME/SYMBOL/CUSIP CHANGE OPTION SYMBOLS: NU/2NU NEW SYMBOLS: ES/2ES DATE: 2/19/15

The Options Clearing Corporation (OCC) has been advised that Northeast Utilities (NU) will change its name, trading symbol and CUSIP to Eversource Energy (ES), CUSIP 30040W108. As a result, option symbosl NU/2NU will also change to ES/2ES effective at the opening of business on February 19, 2015.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol ES/2ES commencing February 19, 2015.

DATE:	February 19, 2015
OPTION SYMBOL:	NU changes to ES 2NU changes to 2ES
UNDERLYING SECURITY:	NU changes to ES
CONTRACT MULTIPLIER:	1
STRIKE DIVISOR:	1
NEW MULTIPLIER:	100
DELIVERABLE PER CONTRACT:	100 Eversource Energy (ES) Common Shares
CUSIP NUMBER:	(New) 30040W108

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by a panel of The OCC Securities Committee pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The adjustment panel is comprised of representatives from OCC and each exchange which trades the affected option. The

determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email <u>investorservices@theocc.com</u>. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email <u>memberservices@theocc.com</u>.