



DATE: November 21, 2018
TO: MIAX PEARL Members
FROM: MIAX PEARL Regulatory Department
RE: CAT Reporting Requirements Presentation Schedule and Registration Information

The CAT NMS, LLC Operating Committee is hosting in-person presentations on options reporting requirements for the **Consolidated Audit Trail**. During these presentations, the SROs and Thesys CAT LLC will provide information on the reporting of options orders in accordance with the CAT Reporting Technical Specifications for Industry Members; including detailed discussions of reporting scenarios, implementation timelines and registration.

Additional information on the presentation schedule and registration information can be found below.

Thursday, November 29, 2018 – Deloitte - 1221 Avenue of the Americas, New York, NY 10020 (*Register by 11/23/2018*)
- 4:15PM-5:30PM – [Registration Link](#)

Tuesday, December 4, 2018 – Cboe Global Markets- 400 S LaSalle St., Chicago, IL 60605 (*Register by 11/30/2018*)
- 4:15PM-5:30PM – [Registration Link](#)

Tuesday, January 29, 2019 – Deloitte - 30 Rockefeller Plaza, New York, NY, 10112 (*Register by 1/22/2019*)
- 4:15PM-5:30PM – [Registration Link](#)

Thursday January 31, 2019 – Cboe Global Markets- 400 S LaSalle St., Chicago, IL 60605 (*Register by 1/22/2019*)
- 4:15PM-5:30PM – [Registration Link](#)

There will be a conference dial-in for remote access for those unable to attend in person.

Regulatory inquiries should be directed to Regulatory@MIAXOptions.com or (609) 897-7309.