

#53608

Date: November 21, 2023

Subject: Lufax Holding Ltd - Reverse Split

Option Symbol: LU New Symbol: LU1 Date: 12/15/2023

Lufax Holding Ltd (LU) has announced a 1-for-4 reverse stock split. As a result of the reverse stock split, each LU American Depositary Share will be converted into the right to receive 0.25 (New) Lufax Holding Ltd American Depositary Shares. The reverse stock split will become effective before the market open on December 15, 2023.

Contract Adjustment

Effective Date: December 15, 2023

Option Symbol: LU changes to LU1

Contract

Multiplier: 1

Strike Divisor: 1

New Multiplier: 100 (e.g., for premium or strike dollar extensions 1.00 will equal \$100)

New Deliverable

Per Contract: 25 (New) Lufax Holding Ltd (LU) American Depositary Shares

CUSIP: LU (New): 54975P201

Pricing

The underlying price for LU1 will be determined as follows:

LU1 = 0.25 (LU)

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all

information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.