



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#49363**

**Date:** October 05, 2021

**Subject:** LiveXLive Media, Inc. – Name/Symbol/CUSIP Change  
Option Symbol: LIVX  
New Symbol: LVO  
Date: 10/06/2021

LiveXLive Media, Inc. (LIVX) will change its name, trading symbol, and CUSIP to LiveOne, Inc. (LVO), CUSIP 53814X102 effective October 6, 2021. As a result, option symbol LIVX will also change to LVO effective at the opening of business on October 6, 2021.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol LVO commencing October 6, 2021.

**Date:** October 6, 2021

**Option Symbol:** LIVX changes to LVO

**Underlying Security:** LIVX changes to LVO

**Contract Multiplier:** 1

**Strike Divisor:** 1

**New Multiplier:** 100

**Deliverable Per Contract:** 100 LiveOne, Inc. (LVO) Common Shares

**CUSIP:** 53814X102

**Disclaimer**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For

both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).