



#32700

TO: ALL CLEARING MEMBERS

DATE: MAY 17, 2013

SUBJECT: HECKMANN CORPORATION – NAME/SYMBOL/CUSIP CHANGE
OPTION SYMBOL: HEK
NEW SYMBOL: NES
DATE: 5/20/13

The Options Clearing Corporation (OCC) has been advised that Heckmann Corporation (HEK) will change its name, trading symbol and CUSIP to Nuverra Environmental Solutions, Inc. (NES), CUSIP 67091K104. As a result, option symbol HEK will also change to NES, effective at the opening of business on May 20, 2013.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol NES commencing May 20, 2013.

DATE: May 20, 2013

OPTION SYMBOL: HEK changes to NES

UNDERLYING SECURITY: HEK changes to NES

CONTRACT MULTIPLIER: 1

STRIKE DIVISOR: 1

NEW MULTIPLIER: 100

DELIVERABLE PER CONTRACT: 100 (New) Nuverra Environmental Solutions, Inc. (NES) Common Shares

CUSIP NUMBER: 67091K104

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by a panel of The OCC Securities Committee pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The adjustment panel is comprised of representatives from OCC and each exchange which trades the affected option. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

Questions regarding this memo can be addressed to Member Services at (800) 544-6091. Within Canada call (800) 424-7320.

CATEGORY: OPERATIONS