



#35756

DATE: DECEMBER 4, 2014

SUBJECT: FOSTER WHEELER AG – DELAYED SETTLEMENT
OPTION SYMBOL: FWLT
FUTURE SYMBOL: FWLT1D

An Offer to Purchase for Foster Wheeler AG (FWLT) expired on November 12, 2014 (see OCC Information Memo #35547). Foster Wheeler AG (FWLT) shares were voluntarily delisted from NASDAQ effective December 3, 2014. The subsequent squeeze-out under Swiss Law that is expected to occur following the expiration of the Offer to Purchase is not yet effective.

OCC will delay settlement of FWLT options exercise/assignment activity and FWLT1D activity until additional information is available.

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by a panel of The OCC Securities Committee pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The adjustment panel is comprised of representatives from OCC and each exchange which trades the affected option. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.

CATEGORY: CONTRACT ADJUSTMENT