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#53255

Date: September 25, 2023

Subject: Flotek Industries, Inc. - Reverse Split
Option Symbol: FTK
New Symbol: FTK1
Date: 09/26/2023

Flotek Industries, Inc. (FTK) has announced a 1-for-6 reverse stock split. As a result of the reverse stock split, each FTK Common Share will be converted into the right to receive approximately 0.166667 (New) Flotek Industries, Inc. Common Shares. The reverse stock split will become effective before the market open on September 26, 2023. Fractional shares will be rounded up to the nearest whole share.

Contract Adjustment

Effective Date: September 26, 2023

Option Symbol: FTK changes to FTK1

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100 (e.g., for premium or strike dollar extensions 1.00 will equal \$100)

New Deliverable Per Contract: 17 (New) Flotek Industries, Inc. (FTK) Common Shares

CUSIP: FTK (New): 343389409

Pricing

The underlying price for FTK1 will be determined as follows:

$$\text{FTK1} = 0.17 \text{ (FTK)}$$

Disclaimer

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investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

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ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.