



Date: August 06, 2021
Subject: Ethan Allen Interiors Inc. – Symbol Change
Option Symbol: 8/16/21 - ETH remains ETH
8/17/21 - ETH becomes ETD
Date: 08/17/2021

Ethan Allen Interiors Inc. (ETH) will change its trading symbol to ETD effective August 16, 2021. As a result, option symbol ETH will change to ETD effective at the opening of business on August 17, 2021.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol ETD commencing August 17, 2021.

Date: August 17, 2021
Option Symbol: ETH changes to ETD (Effective August 17, 2021)
Underlying Security: ETH changes to ETD (Effective August 16, 2021)
Contract Multiplier: 1
Strike Divisor: 1
New Multiplier: 100
Deliverable Per Contract: 100 Ethan Allen Interiors Inc. (ETD) Common Shares
CUSIP: 297602104

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional

information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.