



**THE FOUNDATION
FOR SECURE
MARKETS**

#49106

Date: August 06, 2021

Subject: Ethan Allen Interiors Inc. - Cash Distribution
Option Symbol: ETH
Date: 08/16/2021

Ethan Allen Interiors Inc. (ETH) has announced a Special Cash Dividend of \$0.75 per ETH Common Share. The record date is August 17, 2021; the payable date is August 31, 2021. The ex-distribution date for this distribution will be August 16, 2021.

Contract Adjustment

Effective Date: August 16, 2021

New Multiplier: 100 (e.g., for premium extensions a premium of 1.50 equals \$150.00; a strike of 24.00 yields \$2,400.00).

Contract Multiplier: 1

Strike Prices: Strike prices will be reduced by 0.75. (For example, a strike of 10.25 will be reduced to 9.50; a strike of 30.00 will be reduced to 29.25)

Option Symbol: ETH remains ETH

Deliverable Per Contract: 100 Ethan Allen Interiors Inc. (ETD) Common Shares

Note: Ethan Allen Interiors Inc. Common Shares will begin trading under the ticker symbol "ETD" on August 16, 2021.

CUSIP: 297602104

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.