



**DATE: NOVEMBER 12, 2020**

**SUBJECT: AXOVANT GENE THERAPIES LTD. – NAME/SYMBOL/CUSIP  
CHANGE  
OPTION SYMBOLS: AXGT/AXGT1  
NEW SYMBOLS: SIOX/SIOX1  
DATE: 11/13/2020**

The Options Clearing Corporation (OCC) has been informed that Axovant Gene Therapies Ltd. (AXGT) will change its name, trading symbol and CUSIP to Sio Gene Therapies Inc. (SIOX) 829399104. As a result, option symbols AXGT/AXGT1 will also change to SIOX/SIOX1 effective at the opening of business on November 13, 2020.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbols SIOX/SIOX1 commencing November 13, 2020.

**DATE:** November 13, 2020

**OPTION SYMBOLS:** AXGT changes to SIOX  
AXGT1 changes to SIOX1 (AXGT options were adjusted on May 8, 2019. See OCC Information Memo #45040)

**UNDERLYING SECURITY:** AXGT changes to SIOX

**CONTRACT MULTIPLIER:** 1

**STRIKE DIVISOR:** 1

**NEW MULTIPLIER:** 100

**DELIVERABLE PER CONTRACT:** **SIOX**  
100 Sio Gene Therapies Inc. (SIOX) Common Shares  
**SIOX1**  
1) 12 Sio Gene Therapeis Inc. (SIOX) Common Shares  
2) \$3.47 Cash

**CUSIP:** 829399104

## **DISCLAIMER**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

**ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.**

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theooc.com](mailto:investorservices@theooc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theooc.com](mailto:memberservices@theooc.com).