



**THE FOUNDATION
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#51949

Date: February 14, 2023

Subject: Athenex, Inc. - Reverse Split
Option Symbol: ATNX
New Symbol: ATNX1
Date: 02/15/2023

Athenex, Inc. (ATNX) has announced a 1-for-20 reverse stock split. As a result of the reverse stock split, each ATNX Common Shares will be converted into the right to receive 0.05 (New) Athenex, Inc. Common Shares. The reverse stock split will become effective before the market open on February 15, 2023.

Contract Adjustment

Effective Date: February 15, 2023

Option Symbol: ATNX changes to ATNX1

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100 (e.g., for premium or strike dollar extensions 1.00 will equal \$100)

New Deliverable Per Contract: 5 (New) Athenex, Inc. (ATNX) Common Shares

CUSIP: ATNX (New): 04685N202

Pricing

The underlying price for ATNX1 will be determined as follows:

$$\text{ATNX1} = 0.05 (\text{ATNX})$$

Disclaimer

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information concerning this corporate event(s).

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ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.