



Date: December 28, 2021

Subject: ARK Next Generation Internet ETF - Cash Distribution (Capital Gains)
Option Symbol: ARKW
Date: 12/29/2021

ARK Next Generation Internet ETF (ARKW) has announced a long-term capital gains distribution of \$3.11814 and a short-term capital gains distribution of \$0.19619 per ARKW Share. The record date is December 30, 2021; the payable date is December 31, 2021. The ex-distribution date for this distribution will be December 29, 2021.

Contract Adjustment

Effective Date: December 29, 2021

New Multiplier: 100 (e.g., for premium extensions a premium of 1.50 equals \$150.00; a strike of 125.00 yields \$12,500.00).

Contract Multiplier: 1

Strike Prices: Strike prices will be reduced by 3.31433 and rounded to the nearest penny. (For example, a strike of 60.00 will be reduced to 56.69; a strike of 131.00 will be reduced to 127.69)

Option Symbol: ARKW remains ARKW

Deliverable Per Contract: 100 ARK Next Generation Internet ETF (ARKW) Shares

CUSIP: 00214Q401

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

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both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.