

## Market Order

Order Attribute	Acceptance Requirements
Time in Force (TIF)	
Immediate or Cancel (IOC)	Permitted
Regular Hours Only (RHO)	Permitted if Routing Strategy is Primary Auction (PAC) Not Permitted if Routing Strategy is not Primary Auction
Day	Not Permitted
Fill or Kill (FOK)	Permitted
Good 'til Time (GTT)	Not Permitted
Good 'til Extended Day (GTX)	Not Permitted
Expire Time	
Time Specified	Not Permitted
Time not Specified	Not Permitted
Visibility	
Displayed	Permitted
Non-Displayed	Permitted
Price Sliding and Re-price Frequency	
Display Price Sliding: Re-price Once	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed
Display Price Sliding: Re-price Multiple Times	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed
Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed
No Price Sliding	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed
Post Only (PO)	
Yes	Not Permitted
No	Permitted
Intermarket Sweep Order	
Yes	Not Permitted
No	Permitted

## Market Order (Cont.)

Order Attribute	Acceptance Requirements
Minimum Quantity	
Yes – Single Contra Execution Type	Permitted if Visibility is Non-Displayed Not Permitted if Visibility is Displayed
Yes – Multiple Contra Execution Type	Permitted if Visibility is Displayed Not Permitted if Routing Instruction is “Route Once” or “Re-routable”
No	Permitted
Reserve Order	
Yes – Fixed Replenishment	Not Permitted
Yes – Random Replenishment	
No	Permitted
Routing Instruction	
Route Once	Permitted if Visibility is Non-Displayed  Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is “Display Price Sliding: Re-price Once” or “Display Price Sliding: Re-price Multiple Times”  Not Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is “Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry” or “No Price Sliding”
Re-routable	Permitted if Visibility is Non-Displayed  Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is “Display Price Sliding: Re-price Multiple Times”  Not Permitted if Visibility is Displayed and Price Sliding and Reprice Frequency is “Display Price Sliding: Re-price Once”, “Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry” or “No Price Sliding”
Do Not Route (DNR)	Permitted

## Market Order (Cont.)

Order Attribute	Acceptance Requirements
Routing Strategy	
Order Protection	<p>Permitted if Routing Instruction is "Route Once" or "Re-routable"</p> <p>Not Permitted if Routing Instruction is "Do Not Route"</p>
Primary Auction (PAC)	<p>Permitted if Routing Instruction is "Re-routable"</p> <p>Not Permitted if Routing Instruction is "Route Once" or "Do Not Route"</p> <p>Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is "Display Price Sliding: Re-price Multiple Times"</p> <p>Not Permitted if Visibility is Displayed and Price Sliding and Reprice Frequency is "No Price Sliding", "Display Price Sliding: Reprice Once" or "Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry"</p> <p>Not Permitted if Visibility is Non Displayed</p> <p>Permitted if Minimum Quantity is No</p> <p>Not Permitted if Minimum Quantity is Yes</p>
Available when Locked	
Yes	Not Permitted
No	Not Permitted
Peg Offset	
Custom Dollar value specified	Not Permitted
Trading Collar Custom Value	
Custom Dollar value specified	Permitted
Cancel Order if Not NBBO Setter	
Yes	Not Permitted
No	Permitted
Cancel Order if Not NBBO Setter with Size	
Yes	Not Permitted
No	Permitted

## Limit Order

Order Attribute	Acceptance Requirements
Time in Force (TIF)	
Immediate or Cancel (IOC)	Permitted if Post Only is No Not Permitted if Post Only is Yes
Regular Hours Only (RHO)	Permitted
Day	Permitted
Fill or Kill (FOK)	Permitted Not Permitted if Post Only is Yes Not Permitted if a Minimum Quantity is set
Good 'til Time (GTT)	Permitted
Good 'til Extended Day (GTX)	Permitted
Expire Time	
Time Specified	Permitted if TIF is GTT Not Permitted if TIF is RHO, IOC, Day, FOK, or GTX
Time not Specified	Permitted if TIF is RHO, IOC, Day, FOK, or GTX Not Permitted if TIF is GTT
Visibility	
Displayed	Permitted
Non-Displayed	Permitted
Price Sliding and Re-price Frequency	
Display Price Sliding: Re-price Once	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed
Display Price Sliding: Re-price Multiple Times	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed
Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry	Permitted if Visibility is Displayed and ISO is No Not Permitted if Visibility is Non-Displayed and/or ISO is Yes
No Price Sliding	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed
Post Only (PO)	
Yes	Permitted if Routing Instruction is "Do Not Route" Not Permitted if Routing Instruction is "Route Once" or "Re-routable"
No	Permitted

## Limit Order (Cont.)

Order Attribute	Acceptance Requirements
<b>Intermarket Sweep Order</b>	
Yes	<p>Permitted if Routing Instruction is "Do Not Route" Not Permitted if Routing Instruction is "Route Once" or "Re-routable"</p> <p>Not Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is "Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry"</p>
No	Permitted
<b>Minimum Quantity</b>	
Yes – Single Contra Execution Type	<p>Permitted if Visibility is Non-Displayed Not Permitted if Visibility is Displayed</p>
Yes – Multiple Contra Execution Type	<p>Permitted if Routing Instruction is "Do Not Route" Not Permitted if Routing Instruction is "Route Once" or "Re-routable"</p> <p>Permitted if ISO is No Not Permitted if ISO is Yes</p>
No	Permitted
<b>Reserve Order</b>	
Yes – Fixed Replenishment	<p>Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is "Display Price Sliding: Re-price Once", "Display Price Sliding: Re-price Multiple Times" or "Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry"</p>
Yes – Random Replenishment	<p>Not Permitted if Visibility if Displayed and Price Sliding and Reprice Frequency is "No Price Sliding"</p> <p>Not Permitted if Visibility is Non-Displayed</p> <p>Permitted if Minimum Quantity is No</p>
No	Permitted

## Limit Order (Cont.)

Order Attribute	Acceptance Requirements
Routing Instruction	
Route Once	<p>Permitted if Visibility is Non-Displayed</p> <p>Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is "Display Price Sliding: Re-price Once" or "Display Price Sliding: Re-price Multiple Times"</p> <p>Not Permitted if Visibility is Displayed and Price Sliding and Re- Price Frequency is "Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry" or "No Price Sliding"</p>
Re-routable	<p>Permitted if Visibility is Non-Displayed</p> <p>Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is "Display Price Sliding: Re-price Multiple Times"</p> <p>Not Permitted if Visibility is Displayed and Price Sliding and Re- price Frequency is "Display Price Sliding: Re-price Once", "Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry" or "No Price Sliding"</p>
Do Not Route (DNR)	Permitted
Routing Strategy	
Order Protection	<p>Permitted if Routing Instruction is "Route Once" or "Re-routable"</p> <p>Not Permitted if Routing Instruction is "Do Not Route"</p>
Primary Auction (PAC)	<p>Permitted if Routing Instruction is "Re-routable"</p> <p>Not Permitted if Routing Instruction is "Route Once" or "Do Not Route"</p> <p>Permitted if Visibility is Displayed and Price Sliding and Re-price Frequency is "Display Price Sliding: Re-price Multiple Times"</p> <p>Not Permitted if Visibility is Displayed and Price Sliding and Reprice Frequency is "No Price Sliding", "Display Price Sliding: Reprice Once" or "Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry"</p> <p>Not Permitted if Visibility is Non-Displayed</p> <p>Permitted if Minimum Quantity is No</p> <p>Not Permitted if Minimum Quantity is Yes</p>

## Limit Order (Cont.)

Order Attribute	Acceptance Requirements
Available when Locked	
Yes	Not Permitted
No	Not Permitted
Peg Offset	
Custom Dollar value specified	Not Permitted
Trading Collar Custom Value	
Custom Dollar value specified	Not Permitted
Cancel Order if Not NBBO Setter	
Yes	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed  Permitted if Routing Instruction is "Do Not Route" Not Permitted if Routing Instruction is "Route Once" or "Re-routable"
No	Permitted
Cancel Order if Not NBBO Setter with Size	
Yes	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed  Permitted if Routing Instruction is "Do Not Route" Not Permitted if Routing Instruction is "Route Once" or "Re-routable"
No	Permitted

## Midpoint Peg Order

Order Attribute	Acceptance Requirements
Time in Force (TIF)	
Immediate or Cancel (IOC)	Permitted if Post Only is No Not Permitted if Post Only is Yes
Regular Hours Only (RHO)	Permitted
Day	Permitted
Fill or Kill (FOK)	Permitted Not Permitted if Post Only is Yes Not Permitted if a Minimum Quantity is set
Good 'til Time (GTT)	Permitted
Good 'til Extended Day (GTX)	Permitted
Expire Time	
Time Specified	Permitted if TIF is GTT Not Permitted if TIF is RHO, IOC, Day, FOK, or GTX
Time not Specified	Permitted if TIF is RHO, IOC, Day, FOK, or GTX Not Permitted if TIF is GTT
Visibility	
Displayed	Not Permitted
Non-Displayed	Permitted
Price Sliding and Re-price Frequency	
Display Price Sliding: Re-price Once	Not Permitted
Display Price Sliding: Re-price Multiple Times	Not Permitted
Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry	Not Permitted
No Price Sliding	Not Permitted
Post Only (PO)	
Yes	Permitted
No	Permitted
Intermarket Sweep Order	
Yes	Not Permitted
No	Permitted
Minimum Quantity	
Yes – Single Contra Execution Type	Permitted
Yes – Multiple Contra Execution Type	
No	Permitted



## Midpoint Peg Order (Cont.)

Order Attribute	Acceptance Requirements
Reserve Order	
Yes – Fixed Replenishment	Not Permitted
Yes – Random Replenishment	
No	Permitted
Routing Instruction	
Route Once	Not Permitted
Re-routable	Not Permitted
Do Not Route (DNR)	Permitted
Routing Strategy	
Order Protection	Not Permitted
Primary Auction (PAC)	Not Permitted
Available when Locked	
Yes	Permitted
No	Permitted
Peg Offset	
Custom Dollar value specified	Not Permitted
Trading Collar Custom Value	
Custom Dollar value specified	Not Permitted
Cancel Order if Not NBBO Setter	
Yes	Not Permitted
No	Permitted
Cancel Order if Not NBBO Setter with Size	
Yes	Not Permitted
No	Permitted

## Primary Peg Order

Order Attribute	Acceptance Requirements
Time in Force (TIF)	
Immediate or Cancel (IOC)	Permitted if Post Only is No Not Permitted if Post Only is Yes
Regular Hours Only (RHO)	Permitted
Day	Permitted
Fill or Kill (FOK)	Permitted Not Permitted if Post Only is Yes Not Permitted if a Minimum Quantity is set
Good 'til Time (GTT)	Permitted Not Permitted if used in conjunction with a Visibility of Displayed and a Passive Offset
Good 'til Extended Day (GTX)	Permitted Not Permitted if used in conjunction with a Visibility of Displayed and a Passive Offset
Expire Time	
Time Specified	Permitted if TIF is GTT Not Permitted if TIF is RHO, IOC, Day, FOK, or GTX
Time not Specified	Permitted if TIF is RHO, IOC, Day, FOK, or GTX Not Permitted if TIF is GTT
Visibility	
Displayed	Permitted
Non-Displayed	Permitted
Price Sliding and Re-price Frequency	
Display Price Sliding: Re-price Once	Not Permitted
Display Price Sliding: Re-price Multiple Times	Permitted if Visibility is Displayed Not Permitted if Visibility is Non-Displayed
Display Price Sliding: Re-price Once, but Cancel if Crossed at Entry	Not Permitted
No Price Sliding	Not Permitted
Post Only (PO)	
Yes	Permitted
No	Permitted
Intermarket Sweep Order	
Yes	Not Permitted
No	Permitted

## Primary Peg Order (Cont.)

Order Attribute	Acceptance Requirements
Minimum Quantity	
Yes – Single Contra Execution Type	Permitted if Visibility in Non-Displayed Not Permitted if Visibility is Displayed
Yes – Multiple Contra Execution Type	Permitted if Post Only is No
No	Permitted
Reserve Order	
Yes – Fixed Replenishment	Not Permitted
Yes – Random Replenishment	
No	Not Permitted
Routing Instruction	
Route Once	Not Permitted
Re-routable	Not Permitted
Do Not Route (DNR)	Permitted
Routing Strategy	
Order Protection	Not Permitted
Primary Auction (PAC)	Not Permitted
Available when Locked	
Yes	Permitted
No	Permitted
Peg Offset	
Custom Dollar value specified	Permitted
Trading Collar Custom Value	
Custom Dollar value specified	Not Permitted
Cancel Order if Not NBBO Setter	
Yes	Not Permitted
No	Permitted
Cancel Order if Not NBBO Setter with Size	
Yes	Not Permitted
No	Permitted