

Voluntary/Mutual Agreement Trade Nullification or Adjustment

MIAX Options® Exchange
MIAX Pearl® Options Exchange
MIAX Emerald® Options Exchange
MIAX Sapphire™ Options Exchange



MIAX Members seeking a Voluntary/Mutual Agreement Trade Nullification or Adjustment must provide the following information to the Regulatory Division at Regulatory@miaxglobal.com.

This form must be submitted prior to 8:30 a.m. ET on the first trading day following the execution.

Select Exchange(s) (required):	MIAX	MIAX Pearl	MIAX Emerald	MIAX Sapphire
1. Date:		2. Firm Name:		
3. MPID(s):		4. Contact Name:		
5. Phone Number:		6. E-mail:		
7. Type of Review:	Voluntary Nullification	Voluntary Price Adjustment		
8. Reason		Preferred Price:		
9. Time of Execution (ET):		9. Time of Execution (ET):		
10. Underlying(s):		11. Expiration:		
12. Series:		13. Call or Put:	Call	Put
14. Complex Trade:	Yes	No	15. Trade Size:	
16. Price:		17. Stock Tied:	Price:	Volume:
18. Additional Notes:				

For multiple errors, attach an Excel spreadsheet containing the information in items #7 - #18 above

Please save the completed form and attach it to an e-mail to Regulatory@miaxglobal.com.

MIAX Regulatory Department: 609-897-7309