

HIGHLIGHT OF OCTOBER 1, 2023, FEE CHANGES

(Changes Highlighted Below)

1) Transaction Rebates/Fees

f) Step-Up Added Liquidity Rebate

Tier	Required Criteria	Securities Priced at or Above \$1.00
1	Step-Up ADAV as a % of TCV from May 2023 <u>July 2023</u> $\geq 0.03\%$ of the TCV. This criteria will expire no later than September 29, 2023 <u>January 31, 2024</u> .	(\$0.0031)

The rebate provided for by the above table is applicable to the following fee codes: AA, AB, and AC.